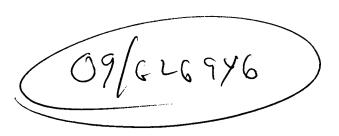
Set Items S1 56	Description AU=(FORREST J? OR FORREST, J?)
S2 7	COMBINATORIAL()AUCTION?
s3 2175	COLUMN(2N) (GENERATION OR TECHNIQUE? OR METHOD?)
S4 7919	
S5 0	S3 AND AUCTION?
S6 2	
S7 9	S2 OR S6
? show file	
File 344: Chinese Patents ADS Aug 1965-2004/May	
(c) 2	004 European Patent Ullice
File 347: JAPIO Nov 1976-2004/reb (opdated 040007)	
(c) 2	004 JPO & JAPIO
File 350: Derwent WPIX 1963-2004/0D, ON & OF-200441	
(c) 2	004 Thomson Derwent
File 371: French Patents 1901-2002/BOF1 200209	
S6 2 S7 9 ? show file File 344:Chine (c) 2 File 347:JAPIC (c) 2 File 350:Derwe (c) 2 File 371:Frence	S3 AND AUCTION? S4 AND AUCTION? S2 OR S6  se Patents Abs Aug 1985-2004/May 004 European Patent Office Nov 1976-2004/Feb(Updated 040607) 004 JPO & JAPIO ent WPIX 1963-2004/UD,UM &UP=200441 004 Thomson Derwent th Patents 1961-2002/BOPI 200209



7/5/1 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2004 Thomson Derwent. All rts. reserv.

016280835 \*\*Image available\*\*
WPI Acc No: 2004-438730/200441

Related WPI Acc No: 2001-328709; 2001-355385

XRPX Acc No: N04-347032

Match-making constraint constructing method for traders, involves constructing match-making constraint by performing logical AND operation on original, give-quantity, take-quantity, take-zero-sum, and give-zero-sum constraints

Patent Assignee: B2E SOURCING OPTIMIZATION INC (BTWO-N)

Inventor: BRODSKY A; GOZHANSKY A; KARPISHPAN S; KATZ M; ZELIVINSKI S

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Week Date US 6751597 B1 20040615 US 99161355 P 19991026 200441 B US 99163243 Ρ 19991103 US 99163425 Ρ 19991103 US 2000695046 A 20001025

Priority Applications (No Type Date): US 2000695046 A 20001025; US 99161355 P 19991026; US 99163243 P 19991103; US 99163425 P 19991103

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
US 6751597 B1 20 G06F-017/60 Provisional application US 99161355
Provisional application US 99163243
Provisional application US 99163425

Abstract (Basic): US 6751597 B1

NOVELTY - The method involves creating a quantity variable for each of an item identified in respective all-given-item and all-take-item specifications. Take-zero-sum and give-zero-sum constraints are constructed based on the variables. A match-making constraint is constructed by performing a logical AND operation on original, give-quantity, take-quantity, take-zero-sum, and give-zero-sum constraints in a computing device (127).

DETAILED DESCRIPTION - The take-zero-sum constraint identifies whether all of the items in the all-take-item specifications are available in the all-give-item specifications. The give-zero-sum constraint identifies whether all of the items in the all-give-item specifications are required in the all-take-item specifications. An INDEPENDENT CLAIM is also included for a method for forming a match among a number of traders and for recommending an optimal trade.

USE - Used for constructing a match-making constraint for traders (claimed) in an electronic commerce e.g. electronic mall and shop e.g. IMALL and Amazon.com, electronic auction e.g. EBAY and Yahoo, and competitive shopping e.g. PriceLine.com using a reverse auction .

ADVANTAGE - The method performs optimized match-making trading activities in electronic commerce realm. The match-making constraints combine the match-making and optimization processes and allows the traders to design transactions that are optimal in terms of traders objectives and are mutually agreeable with available trade specifications.

DESCRIPTION OF DRAWING(S) - The drawing shows an adaptive trade specification (ATS) based trading software system, describing a high level graphical summary of a suite of software tools related to the system.

ATS based electronic marketplace (101) ATS based electronic mail (103)

ATS based electronic auction (105)
ATS match-maker (127)
Mixed integer programming (MIP) solver (139)
pp; 20 DwgNo 1/5
erms: MATCH; CONSTRAIN; CONSTRUCTION; METHOD; CON

Title Terms: MATCH; CONSTRAIN; CONSTRUCTION; METHOD; CONSTRUCTION; MATCH; CONSTRAIN; PERFORMANCE; LOGIC; OPERATE; ORIGINAL; QUANTITY; QUANTITY;

ZERO; SUM; ZERO; SUM; CONSTRAIN

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

#### 7/5/2 (Item 2 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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016187316 \*\*Image available\*\*
WPI Acc No: 2004-345202/200432

XRPX Acc No: N04-275882

Combinatorial auction system applies several bid restrictions to bid received from bidder, based on composition of one or more prior bids from bidder

Patent Assignee: MARKET DESIGN GROUP INC (MARK-N)

Inventor: MCAFEE R P; MILGROM P

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 6718312 B1 20040406 US 99416587 A 19991012 200432 B

Priority Applications (No Type Date): US 99416587 A 19991012

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 6718312 B1 18 G06F-017/60

Abstract (Basic): US 6718312 B1

NOVELTY - A computer applies several bid restrictions to a bid received from a bidder, based on the composition of one or more prior bids from the bidder. The bids that satisfy the restrictions are recorded and a selected set of recorded bids are communicated to several auction participants connected to the computer.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

- (1) method of combinatorial auctions with bid composition restrictions;
- (2) recorded medium storing program for **combinatorial auctions** with bid composition restrictions.

USE - For simultaneous auction of multiple items over Internet. ADVANTAGE - By applying bid composition restrictions the problem of bidding for complements can be eliminated without reducing efficiency of auction outcomes.

DESCRIPTION OF DRAWING(S) - The figure shows the flowchart for checking bid composition restrictions.

pp; 18 DwgNo 7/7

Title Terms: COMBINATION; AUCTION; SYSTEM; APPLY; BID; RESTRICT; BID; RECEIVE; BASED; COMPOSITION; ONE; MORE; PRIOR; BID

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

7/5/3 (Item 3 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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016045497 \*\*Image available\*\*
WPI Acc No: 2004-203348/200419

XRPX Acc No: N04-161765

Winning allocation determining method for combinatorial auction, involves eliminating allocation that is not feasible and optimal, and selecting one of remaining allocations as winning allocation based on preset criteria

Patent Assignee: CONEN W (CONE-I); SANDHOLM T (SAND-I)

Inventor: CONEN W; SANDHOLM T

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 20040039682 Al 20040226 US 2002371436 P 20020410 200419 B
US 2003412643 A 20030410

Priority Applications (No Type Date): US 2002371436 P 20020410; US 2003412643 A 20030410

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
US 20040039682 Al 19 G06F-017/60 Provisional application US 2002371436
Abstract (Basic): US 20040039682 Al

NOVELTY - The method involves querying a buyer regarding a buyer preference about an allocation or a bundle. The buyers reply or intimation to the query is received. Each allocation that is not feasible or not optimal is eliminated from considering as winning allocation based on the reply. One of remaining allocations is selected as the winning allocation based on preset criteria.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for a computer readable medium having stored instructions.

USE - Used for determining winning allocation in **combinatorial** auctions with forward and reverse auctions and exchanges.

ADVANTAGE - The method selects one of the remaining allocations as the winning allocation based on the preset criteria, thereby determining the winners in simplified computational steps effectively.

DESCRIPTION OF DRAWING(S) - The drawing shows an augmented order graph that includes a node for each bidder-bundle pair and which further includes the rank lattice of the possible combination of bids of two bidders where infeasible allocations are illustrated on a highlighted background.

pp; 19 DwgNo 5/5

Title Terms: WINNING; ALLOCATE; DETERMINE; METHOD; COMBINATION; AUCTION; ELIMINATE; ALLOCATE; FEASIBLE; OPTIMUM; SELECT; ONE; REMAINING; WINNING; ALLOCATE; BASED; PRESET; CRITERIA

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

#### 7/5/4 (Item 4 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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015902825 \*\*Image available\*\*
WPI Acc No: 2004-060665/200406
Related WPI Acc No: 2004-168277

XRPX Acc No: N04-049108

Winning bids determination method in multi-unit combinatorial auction, involves determining candidate allocation including selected bid combination and price data, for each unique path of search tree

Patent Assignee: GILPIN A G (GILP-I); LEVINC D L (LEVI-I); SANDHOLM T

(SAND-I); SURI S (SURI-I)

Inventor: GILPIN A G; LEVINC D L; SANDHOLM T; SURI S
Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 20030225677 A1 20031204 US 2000183674 P 20000218 200406 B
US 2001789480 A 20010220

US 2001789480 A 20010220 US 2002371450 P 20020410 US 2003411879 A 20030410

Priority Applications (No Type Date): US 2003411879 A 20030410; US 2000183674 P 20000218; US 2001789480 A 20010220; US 2002371450 P 20020410 Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
US 20030225677 A1 40 G06F-017/60 Provisional application US 2000183674

CIP of application US 2001789480 Provisional application US 2002371450

Abstract (Basic): US 20030225677 A1

NOVELTY - The unique paths of a search tree that is formed using selected bids, are searched to determine for each path a candidate allocation including selected bid combination and price data obtained by summing bid prices of the combinations of selected bids. When the price included in candidate allocation is better than the current price included in best allocation, the best allocation is updated with candidate allocation.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for computer readable medium storing program to determine winning bids.

USE - For determining winning bid in multi-unit combinatorial

ADVANTAGE - The optimal combination of bids in a combinational auction, reverse auction or exchange, that has most favorable exchange of value is determined easily and efficiently.

DESCRIPTION OF DRAWING(S) - The figure shows a flowchart explaining winning bids determination process

pp; 40 DwgNo 2/14

Title Terms: WINNING; BID; DETERMINE; METHOD; MULTI; UNIT; COMBINATION; AUCTION; DETERMINE; CANDIDATE; ALLOCATE; SELECT; BID; COMBINATION; PRICE; DATA; UNIQUE; PATH; SEARCH; TREE

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

#### 7/5/5 (Item 5 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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015902796 \*\*Image available\*\*
WPI Acc No: 2004-060636/200406
XRPX Acc No: N04-049079

Winning allocation determining method in combinatorial auction or exchange, involves determining winning allocation based on determined clearing price for buying and selling bids that maximize clearing surplus Patent Assignee: SANDHOLM T (SAND-I); SURI S (SURI-I)

Inventor: SANDHOLM T; SURI S Number of Countries: 001 Number of Patents: 001 Patent Family: Kind Date Week Kind Date Applicat No Patent No 20020410 200406 B US 20030225628 A1 20031204 US 2002371390 P 20030410 US 2003410876 Α Priority Applications (No Type Date): US 2002371390 P 20020410; US 2003410876 A 20030410 Patent Details: Patent No Kind Lan Pg Filing Notes Main IPC 16 G06F-017/60 Provisional application US 2002371390 US 20030225628 A1 Abstract (Basic): US 20030225628 A1 NOVELTY - The bid that includes price quantity demand curve (2) and supply curve are received. The received curves are utilized to determine the clearing price for buying and selling bids that maximize a clearing surplus. The winning allocation is determined based on the determined clearing price. DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following: (1) winning allocation determining method in auction; (2) winning allocation determining method in forward auction; (3) winning allocation determining method in reverse auction; (4) winning allocation determining method in exchange; and (5) computer readable medium storing winning allocation determining program in auction, exchange, forward and reverse auction. USE - For determining winning allocations in combinatorial auctions and exchanges. ADVANTAGE - The price quantity pair represented by point on the price quantity curve determines the winning allocation reliably. DESCRIPTION OF DRAWING(S) - The figure shows an explanatory view of the linear price quantity demand curve in the co-ordinate system. price quantity demand curve (2) Cartesian coordinate system (4) x-axis (6) y-axis (8) origin (10) pp; 16 DwgNo 1/6 Title Terms: WINNING; ALLOCATE; DETERMINE; METHOD; COMBINATION; AUCTION; EXCHANGE; DETERMINE; WINNING; ALLOCATE; BASED; DETERMINE; CLEAR; PRICE; BUY; SELL; BID; MAXIMISE; CLEAR; SURPLUS Derwent Class: T01; T05 International Patent Class (Main): G06F-017/60 File Segment: EPI (Item 6 from file: 350) 7/5/6 DIALOG(R)File 350:Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv. \*\*Image available\*\* 015341057 WPI Acc No: 2003-401995/200338 XRPX Acc No: N03-320623 Bid selection method in reverse combinatorial auction , involves modeling demand constraints and minimum/maximum number of suppliers based on counting variables indicating selection of bids in optimal bid set Patent Assignee: DAVENPORT A J (DAVE-I); KALAGNANAM J R (KALA-I); LEE H S

Bode Akintola02-Jul-04

Inventor: DAVENPORT A J; KALAGNANAM J R; LEE H S Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week US 20030033236 A1 20030213 US 2001917818 A 20010731 200338 B

Priority Applications (No Type Date): US 2001917818 A 20010731

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 20030033236 A1 19 G06F-017/60

Abstract (Basic): US 20030033236 A1

NOVELTY - A bid decision variable and a counting variable indicating the selection of bids in optimal bid set, are introduced. Demand constraints for each item and minimum/maximum numbers of suppliers are modeled based on counting variables. Dummy variables are introduced to ensure feasible solution. An objective of choosing bids arriving early is formulated for given cost, based on additional time stamped objective.

USE - For selecting bid in multi-bid reverse combinatorial auction .

ADVANTAGE - Enables to find the optimal cost minimizing bid set when commodities are offered in bundles, automatically.

DESCRIPTION OF DRAWING(S) - The figure shows an architecture for an auction or a request-for-quote system.

pp; 19 DwgNo 1/10

Title Terms: BID; SELECT; METHOD; REVERSE; COMBINATION; AUCTION; DEMAND; CONSTRAIN; MINIMUM; MAXIMUM; NUMBER; SUPPLY; BASED; COUNT; VARIABLE; INDICATE; SELECT; BID; OPTIMUM; BID; SET

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

#### 7/5/7 (Item 7 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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015319465 \*\*Image available\*\*
WPI Acc No: 2003-380400/200336
Related WPI Acc No: 2004-121278

XRPX Acc No: N03-303803

Computer-implemented combinatorial auction method for broadcast spectrum rights, involves constructing neighboring allocation by reallocating within current allocation goods from one bid to another bid

Patent Assignee: COMBINENET INC (COMB-N)

Inventor: BOUTILIER C E; HOOS H H

Number of Countries: 031 Number of Patents: 002

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 20030028475 A1 20030206 US 2001310001 P 20010803 200336 B
US 2002211771 A 20020802

EP 1286274 A2 20030226 EP 200278167 A 20020802 200336

Priority Applications (No Type Date): US 2001310001 P 20010803; US 2002211771 A 20020802

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
US 20030028475 A1 14 G06F-017/60 Provisional application US 2001310001

EP 1286274 A2 E G06F-017/10

Designated States (Regional): AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI SK TR

Abstract (Basic): US 20030028475 A1

NOVELTY - Several bids, each having several sub-bids and Boolean operators logically connecting the sub-bids, are received. A best allocation is initialized with the current allocation of the bids. A neighboring allocation is constructed by reallocating within current allocation goods from one bid to another bid. The best allocation is updated, if the neighboring allocation value is greater than that of current allocation.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

- (1) computer readable medium storing combinatorial auction program; and
- (2) method for finding a high quality allocation of bids in combinatorial auction .

USE - For **combinatorial auction** for broadcast spectrum rights, airport gate allocations, etc.

ADVANTAGE - By reallocating goods between bids, a series of neighboring allocations can be constructed and their values can be determined, so as to determine a high quality, perhaps optimal, allocation of bids in a **combinatorial auction**.

DESCRIPTION OF DRAWING(S) - The figure shows a flowchart illustrating the computer-implemented **combinatorial auction** procedure.

pp; 14 DwgNo 3/7

Title Terms: COMPUTER; IMPLEMENT; COMBINATION; AUCTION; METHOD; BROADCAST; SPECTRUM; CONSTRUCTION; NEIGHBOURING; ALLOCATE; CURRENT; ALLOCATE; GOODS; ONE; BID; BID

Derwent Class: T01

International Patent Class (Main): G06F-017/10; G06F-017/60

File Segment: EPI

#### 7/5/8 (Item 8 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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014678331 \*\*Image available\*\* WPI Acc No: 2002-499388/200253

XRPX Acc No: N02-395381

Winning bid selection method for combinatorial auction, involves selecting neighboring allocation based on random distribution or heuristic value when current allocation has no common bids

Patent Assignee: BOUTILIER C E (BOUT-I); HOOS H H (HOOS-I)

Inventor: BOUTILIER C E; HOOS H H

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 20020052829 A1 20020502 US 2000221551 A 20000728 200253 B
US 2001918164 A 20010730

Priority Applications (No Type Date): US 2000221551 P 20000728; US 2001918164 A 20010730

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

Abstract (Basic): US 20020052829 A1

NOVELTY - A subset of bids is designated as current allocation. When current allocation has no bids in common, a neighboring allocation

including a new bid and current allocation is determined based on random distribution or heuristic value. The best allocation is updated with current allocation, if the sum of bid values of current allocation is greater than that of best allocation.

USE - For selecting winning bid in **combinatorial auction** used for determining resource allocations.

ADVANTAGE - Provides the maximum profit to the auctioneer or seller. Probabilistic function and heuristic values jointly offer the best allocation to the seller.

DESCRIPTION OF DRAWING(S) - The figure shows a flowchart of the method of selecting the winning bids.

pp; 10 DwgNo 3/3

Title Terms: WINNING; BID; SELECT; METHOD; COMBINATION; AUCTION; SELECT; NEIGHBOURING; ALLOCATE; BASED; RANDOM; DISTRIBUTE; HEURISTIC; VALUE; CURRENT; ALLOCATE; NO; COMMON; BID

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

# 7/5/9 (Item 9 from file: 350) DIALOG(R)File 350:Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv.

014132118 \*\*Image available\*\*
WPI Acc No: 2001-616329/200171

XRPX Acc No: N01-459748

Price optimization method for computerized market place involves selecting unique pair of buyers and sellers having maximum utility and calculating optimal allocation of total utility

Patent Assignee: I2 TECHNOLOGIES INC (ITWO-N); SCHMIDT C (SCHM-I)

Inventor: SCHMIDT C W; SCHMIDT C

Number of Countries: 095 Number of Patents: 005

Patent Family:

Patent No Kind Date Applicat No Kind Date Week 20010920 WO 200169494 WO 2001US7847 20010312 A1 Α 200171 US 20010047323 A1 20011129 US 2000188974 Ρ 20000313 200202 US 2001820370 Α 20010311 AU 200145630 Α 20010924 AU 200145630 Α 20010312 200208 DE 1095930 DE 10195930 Т 20030508 Α 20010312 200338 WO 2001US7847 Α 20010312 TW 511020 20021121 TW 2001105812 Α Α 20010402 200353

Priority Applications (No Type Date): US 2001820370 A 20010311; US 2000188974 P 20000313

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes WO 200169494 A1 E 30 G06F-017/60

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP

RO RO SD SE SG SI SR SE ID IM IR II 12 OA OG OZ VN IO ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW US 20010047323 A1 G06F-017/60 Provisional application US 2000188974

AU 200145630 A G06F-017/60 Based on patent WO 200169494 DE 10195930 T G06F-017/60 Based on patent WO 200169494

TW 511020 A G06F-017/60

Abstract (Basic): WO 200169494 A1

NOVELTY - A unique pair of buyers and sellers having maximum total utility are selected. The optimal allocations of the total utility are calculated for each buyer and seller, stably, such that transaction price for allocating the utility between selected seller and buyer is determined.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for buyer and seller matching system.

USE - For optimizing price in computerized market place.

ADVANTAGE - The **linear programming** solver applied to the constraints related to buyers and sellers provides optimal matching and pairings, thereby differentiating between different products available in single market place. Allows both buyers and sellers to include non-price related factors in the market by adjusting their reserve prices for preferred pairings.

DESCRIPTION OF DRAWING(S) - The figure shows the auction.

pp; 30 DwgNo 1/16

Title Terms: PRICE; OPTIMUM; METHOD; COMPUTER; MARKET; PLACE; SELECT; UNIQUE; PAIR; BUY; MAXIMUM; UTILISE; CALCULATE; OPTIMUM; ALLOCATE; TOTAL; UTILISE

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

Items Set Description 344 AU=(FORREST J? OR FORREST, J?) S1 S2 166 COMBINATORIAL () AUCTION? 2080 COLUMN(2N) (GENERATION OR TECHNIQUE? OR METHOD?) S3 S4 39055 (LINEAR OR INTEGER?) (2N) PROGRAM? OR HIGH? () DIMENSION? S5 S2 AND S3 0 S2 AND S4 S6 11 ? show file 2:INSPEC 1969-2004/Jun W3 File (c) 2004 Institution of Electrical Engineers File 35:Dissertation Abs Online 1861-2004/May (c) 2004 ProQuest Info&Learning 65:Inside Conferences 1993-2004/Jun W4 File (c) 2004 BLDSC all rts. reserv. File 99:Wilson Appl. Sci & Tech Abs 1983-2004/Jun (c) 2004 The HW Wilson Co. File 233:Internet & Personal Comp. Abs. 1981-2003/Sep (c) 2003 EBSCO Pub. File 474:New York Times Abs 1969-2004/Jul 01 (c) 2004 The New York Times File 475: Wall Street Journal Abs 1973-2004/Jul 01 (c) 2004 The New York Times File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13 (c) 2002 The Gale Group File 256:SoftBase:Reviews,Companies&Prods. 82-2004/May (c) 2004 Info. Sources Inc

```
DIALOG(R) File
              2:INSPEC
(c) 2004 Institution of Electrical Engineers. All rts. reserv.
         INSPEC Abstract Number: C2003-10-7120-002
  Title: Combinatorial auctions , an example of algorithm theory in real
life
  Author(s): Andersson, A.
  Author Affiliation: Dept. of Comput. Sci., Uppsala Univ., Sweden
  Book Title: Computer science in perspective. Essays dedicated to Thomas
           p.13-21
  Editor(s): Klein, R.; Six, H-W.; Wegner, L.
  Publisher: Springer-Verlag, Berlin, Germany
  Publication Date: 2003 Country of Publication: Germany
                                                            x+355 pp.
                          Material Identity Number: XB-2003-00022
  ISBN: 3 540 00579 X
                       Document Type: Book Chapter (BC)
  Language: English
  Treatment: Applications (A); Practical (P); Theoretical (T)
                                               auctions , an interesting
            We discuss
                           combinatorial
  Abstract:
interdisciplinary research field in computer science and economics. In particular, we (a) describe a set of real-world cases, (b) how to solve the
associated computational problems, and (c) discuss the impact of the
probability distributions chosen for benchmarking. (16 Refs)
  Subfile: C
  Descriptors: commerce; computational complexity; integer programming;
probability
  Identifiers: combinatorial auctions; algorithm theory; computer
science; economics; probability distributions; integer programming
problem; public procurement; road painting; paper material; regional
procurement; power exchanges
  Class Codes: C7120 (Financial computing); C1180 (Optimisation
techniques); C1140Z (Other topics in statistics); C4240C (Computational
complexity)
  Copyright 2003, IEE
           (Item 2 from file: 2)
 6/5/2
                2:INSPEC
DIALOG(R) File
(c) 2004 Institution of Electrical Engineers. All rts. reserv.
        INSPEC Abstract Number: C2003-09-7120-038
7707846
   Title: Price-based information certificates for minimal-revelation
 combinatorial
                auctions
  Author(s): Parkes, D.C.
  Author Affiliation: Div. of Eng. & Appl. Sci., Harvard Univ., MA, USA
  Conference Title: Agent-Mediated Electronic Commerce IV. Designing
Mechanisms and Systems. AAMAS 202 Workshop on Agent-Mediated Electronic
                      Papers (Lecture Notes in Artificial Intelligence
            Revised
Commerce.
             p.103-22
Vol.2531)
  Editor(s): Padget, J.; Shehory, O.; Parkes, D.; Sadeh, N.; Walsh, W.E.
  Publisher: Springer-Verlag, Berlin, Germany
  Publication Date: 2002 Country of Publication: Germany
                                                             xvii+339 pp.
                          Material Identity Number: XX-2003-00186
  ISBN: 3 540 00327 4
              Title: Agent-Mediated Electronic Commerce IV. Designing
  Conference
Mechanisms and Systems. AAMAS 2002 Workshop on Agent-Mediated Electronic
Electronic Commerce. Revised Papers
                                   Conference Location: Bologna, Italy
  Conference Date: 16 July 2002
                      Document Type: Conference Paper (PA)
  Language: English
  Treatment: Practical (P); Theoretical (T)
  Abstract: The equilibrium of the direct-revelation Vickrey-Clarke-Groves
 (VCG) mechanism for combinatorial
                                          auctions requires every agent to
```

(Item 1 from file: 2)

provide complete information about its preferences. Not only is this unreasonable in settings with costly preference evaluation, but moreover it is often possible to implement the same outcome with incomplete preference information. We formalize the problem of minimal-revelation mechanism design and introduce the concept of minimal information certificates.

Linear programming duality theory proves that a class of price-based information certificates are both necessary and sufficient to compute an efficient allocation in a dominant strategy mechanism. (37 Refs)

Subfile: C

Descriptors: costing; economics; electronic commerce; linear

programming ; multi-agent systems; software agents

Identifiers: price-based information certificates; minimal-revelation combinatorial auctions; agent preferences; preference evaluation; minimal information certificates; e commerce; linear programming duality theory

Class Codes: C7120 (Financial computing); C7180 (Retailing and distribution computing); C6170 (Expert systems and other AI software and techniques); C1230 (Artificial intelligence); C1290D (Systems theory applications in economics and business); C1180 (Optimisation techniques) Copyright 2003, IEE

#### 6/5/3 (Item 3 from file: 2)

DIALOG(R) File 2: INSPEC

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7707841 INSPEC Abstract Number: C2003-09-7120-033

Title: Bidtree ordering in IDA\* combinatorial auction winner-determination with side constraints

Author(s): Collins, J.; Demir, G.; Gini, M.

Author Affiliation: Dept. of Comput. Sci. & Eng., Minnesota Univ., Minneapolis, MN, USA

Conference Title: Agent-Mediated Electronic Commerce IV. Designing Mechanisms and Systems. AAMAS 202 Workshop on Agent-Mediated Electronic Commerce. Revised Papers (Lecture Notes in Artificial Intelligence Vol.2531) p.17-33

Editor(s): Padget, J.; Shehory, O.; Parkes, D.; Sadeh, N.; Walsh, W.E.

Publisher: Springer-Verlag, Berlin, Germany

Publication Date: 2002 Country of Publication: Germany xvii+339 pp.

ISBN: 3 540 00327 4 Material Identity Number: XX-2003-00186

Conference Title: Agent-Mediated Electronic Commerce IV. Designing Mechanisms and Systems. AAMAS 2002 Workshop on Agent-Mediated Electronic Electronic Commerce. Revised Papers

Conference Date: 16 July 2002 Conference Location: Bologna, Italy

Language: English Document Type: Conference Paper (PA)

Treatment: Practical (P); Theoretical (T)

Abstract: We extend Sandholm's (2001) bidtree-based IDA\* algorithm for combinatorial auction winner determination to deal with negotiation over tasks with precedence constraints. We evaluate its performance, and show that the order of items in the bidtree has a major impact on performance. Specifically, performance is enhanced if the items with the largest numbers of bids are at the top of the bidtree. This is due to the fact that the effective branching factor in the search tree is controlled by the number of bids returned from a query to the bidtree, which in turn is strongly related to its construction. (17 Refs)

Subfile: C

Descriptors: economics; electronic commerce; integer programming; multi-agent systems; tree searching

Identifiers: bidtree ordering; combinatorial auction winner-determination; side constraints; IDA algorithm; negotiation;

precedence constraints; MAGNET; e commerce; Multi-Agent Negotiation Testbed
; performance; branching factor; search tree

Class Codes: C7120 (Financial computing); C7180 (Retailing and distribution computing); C6170 (Expert systems and other AI software and techniques); C1230 (Artificial intelligence); C1180 (Optimisation techniques)

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#### 6/5/4 (Item 4 from file: 2)

DIALOG(R) File 2: INSPEC

(c) 2004 Institution of Electrical Engineers. All rts. reserv.

7533997 INSPEC Abstract Number: C2003-03-7120-050

Title: An efficient approximate allocation algorithm for combinatorial auctions

Author(s): Zurel, E.; Nisan, N.

Author Affiliation: Inst. of Comput. Sci., Hebrew Univ., Jerusalem, Israel

Conference Title: EC'01. Proceedings of the 3rd ACM Conference on Electronic Commerce p.125-36

Publisher: ACM, New York, NY, USA

Publication Date: 2001 Country of Publication: USA x+268 pp. ISBN: 1 58113 387 1 Material Identity Number: XX-2002-00479

U.S. Copyright Clearance Center Code: 1-58113-387-1/01/0010...\$5.00

Conference Title: Proceedings of EC'01. Third ACM Conference on Electronic Commerce

Conference Sponsor: ACM

Conference Date: 14-17 Oct. 2001 Conference Location: Tampa, FL, USA

Language: English Document Type: Conference Paper (PA)

Treatment: Theoretical (T)

Abstract: We propose a heuristic for allocation in combinatorial . We first run an approximation algorithm on the linear auctions relaxation of the combinatorial programming auction . We then run a sequence of greedy algorithms, starting with the order on the bids linear determined by the approximate program and continuing in a hill-climbing fashion using local improvements in the order of bids. We have implemented the algorithm and have tested it on the complete corpus of instances provided by Vohra and de Vries (2000) as well as on instances drawn from the distributions of Leyton-Brown et al. (2000). Our algorithm typically runs two to three orders of magnitude faster than the reported running times of Vohra and de Vries, while achieving an average approximation error of less than 1%. This algorithm can provide, in less than a minute of CPU time, excellent solutions for problems with over 1000 items and 10,000 bids. We thus believe that combinatorial auctions for most purposes face no practical computational hurdles. (14 Refs)

Subfile: C

Descriptors: computational complexity; electronic commerce; linear programming

Identifiers: efficient approximate allocation algorithm; combinatorial auctions; heuristic; linear programming relaxation; greedy algorithms; bids; hill climbing; average approximation error; running times Class Codes: C7120 (Financial computing); C4240C (Computational

complexity); C1180 (Optimisation techniques)

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#### 6/5/5 (Item 5 from file: 2)

DIALOG(R) File 2: INSPEC

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INSPEC Abstract Number: C2001-12-1290D-038
 Title: Bidding and allocation in combinatorial
                                                    auctions
  Author(s): Nisan, N.
  Author Affiliation: Inst. of Comput. Sci.,
                                                    Hebrew Univ., Jerusalem,
Israel
  Conference Title: EC'00. Proceedings of the 2nd ACM Conference on
Electronic Commerce
                      p.1-12
  Publisher: ACM, New York, NY, USA
  Publication Date: 2000 Country of Publication: USA
                                                          vii+271 pp.
                          Material Identity Number: XX-2000-02428
  ISBN: 1 58113 272 7
  U.S. Copyright Clearance Center Code: 1 58113 272 7/2000/0010..$5.00
  Conference Title: Proceedings of ACM Conference on Electronic Commerce
(EC-00)
  Conference Sponsor: ACM
  Conference Date: 17-20 Oct. 2000
                                      Conference Location: Minneapolis, MN,
USA
  Language: English
                       Document Type: Conference Paper (PA)
  Treatment: Economic aspects (E); Practical (P); Theoretical (T)
  Abstract: When an auction of multiple items is performed, it is often
desirable to allow bids on combinations of items, as opposed to only on
single items. Such an auction is often called "combinatorial". And the
exponential number of possible combinations results in computational intractability of many aspects regarding such an auction. This paper considers two of these aspects: the bidding language and the allocation
algorithm. First we consider which kinds of bids on combinations are
allowed and how, ie, in what language, they are specified. The basic
tradeoff is the expressibility of the language versus its simplicity. We
consider and formalize several bidding languages and compare their
strengths. We prove exponential separations between the expressive power of
different languages, and show that one language, "OR-bids with phantom
items", can polynomially simulate the others. We then consider the problem
of determining the best allocation - a problem known to be computationally
intractable. We suggest an approach based on linear programming (LP)
and motivate it. We prove that the LP approach finds an optimal allocation
if and only if prices can be attached to single items in the auction. We
pinpoint several classes of auctions where this is the case, and suggest
greedy and branch-and-bound heuristics based on LP for other cases. (29
 Refs)
  Subfile: C
  Descriptors: combinatorial mathematics; costing; electronic commerce;
formal languages; linear programming; tree searching
  Identifiers: combinatorial auctions; computational intractability;
bidding language; allocation algorithm; language expressibility; language
simplicity; formal language; exponential separations; OR-bids with phantom
items; linear programming; LP; optimal allocation; prices; greedy
heuristics; branch-and-bound heuristics
  Class Codes: C1290D (Systems theory applications in economics and
business); C7120 (Financial computing); C1160 (Combinatorial mathematics)
; C1180 (Optimisation techniques)
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 6/5/6
           (Item 6 from file: 2)
DIALOG(R)File
               2:INSPEC
(c) 2004 Institution of Electrical Engineers. All rts. reserv.
6671202 / INSPEC Abstract Number: C2000-09-1290-020
                      programming for combinatorial
 Title: Integer
                                                           auction winner
determination
  Author(s): Andersson, A.; Tenhunen, M.; Ygge, F.
```

Author Affiliation: Dept. of Comput. Sci., Uppsala Univ., Sweden

Conference Title: Proceedings Fourth International Conference on MultiAgent Systems p.39-46

Publisher: IEEE Comput. Soc, Los Alamitos, CA, USA

Publication Date: 2000 Country of Publication: USA xv+474 pp

ISBN: 0 7695 0625 9 Material Identity Number: XX-2000-01703

U.S. Copyright Clearance Center Code: 0 7695 0625 9/2000/\$10.00

Conference Title: Proceedings Fourth International Conference on MultiAgent Systems

Conference Sponsor: Intelligent Found. MultiAgent Syst.; Charles River Anal.; Nokia; DARPA; NSF; AAAI

Conference Date: 10-12 July 2000 Conference Location: Boston, MA, USA Language: English Document Type: Conference Paper (PA)

Treatment: Theoretical (T)

Abstract: Combinatorial auctions are important as they enable bidders to place bids on combinations of items. Compared to other auction mechanisms, they often increase the efficiency of the auction, while keeping low risks for bidders. However, the determination of an optimal auctions is a complex computational winner combination in combinatorial problem. In this paper we: 1) compare recent algorithms for winner determination to traditional algorithms; 2) present and benchmark a mixed integer programming approach to the problem, which enables very general auctions to be treated efficiently by standard integer programming algorithms (and hereby also by commercially available software); and 3) of the probability distributions chosen for impact discuss the benchmarking. (10 Refs)

Subfile: C

Descriptors: integer programming; mathematics computing; operations research; probability

Identifiers: combinatorial auction; bidders; optimal winner; integer programming; probability distribution

Class Codes: C1290 (Applications of systems theory); C7310 (Mathematics computing); C1180 (Optimisation techniques); C1140Z (Other topics in statistics)

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#### 6/5/7 (Item 1 from file: 35)

DIALOG(R) File 35: Dissertation Abs Online

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01906535 ORDER NO: AADAA-I3064690

Combinatorial double auctions and business-to-business electronic commerce

Author: Xia, Mu Degree: Ph.D. Year: 2001

Corporate Source/Institution: The University of Texas at Austin (0227)

Supervisor: Andrew B. Whinston

Source: VOLUME 63/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 3262. 119 PAGES

Descriptors: BUSINESS ADMINISTRATION, MANAGEMENT

Descriptor Codes: 0454 ISBN: 0-493-83734-5

The Internet has changed not only the way people live, but also the way firms do business with one another. While everyone is convinced that business-to-business electronic commerce (B2B) will bring unprecedented efficiency and even open up a whole new horizon for all participating firms, the recent downturn most B2B companies suffered from clearly reflects doubts and misunderstandings of the new economy. Nevertheless,

people have come to realize the importance of economic forces over technology. The need for rigorous research on the economics of B2B, and business models in particular, is recognized by many people.

This dissertation starts with an overview of the current business models adopted by different e-marketplaces and discusses the advantages and disadvantages of each model. I analyze the roles of the intermediaries in each of these models and why some of the models did not get widely adopted. I also identify issues in B2B that are ripe for research using economic modeling and analysis. In particular, the possibility of trading in one location on the Internet has enabled the market to hold auctions to maximize the trading surplus. However auctions for direct input procurement have to take into account the possible complementarities among different components. One has to offer combinatorial auctions for bidders to express such complementarities in the bidding. The first challenge of holding such auctions is the Winner Determination Problem. We model the combinatorial double auction problem as an integer programming problem and transform it to a set packing problem. It is an NP-hard problem that can be solved by optimization ( Integer Programming ) and artificial intelligence approach (intelligent searches). We compare the two approaches both theoretically and experimentally and found the optimization approach is superior to the intelligent search approach. After the winning bids are determined, pricing them is another challenge, due to the indivisibility of the commodities. The existing pricing approaches can be grouped in two categories: bundle pricing and individual item pricing. We discuss the advantages and disadvantages of the existing approaches and find there are strong relationships among different approaches.

#### 6/5/8 (Item 2 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online (c) 2004 ProQuest Info&Learning. All rts. reserv.

01895395 ORDER NO: AADAA-I3056308

Solving combinatorial auctions with temporal constraints in economic agents

Author: Collins, John Edgar

Degree: Ph.D. Year: 2002

Corporate Source/Institution: University of Minnesota (0130)

Adviser: Maria L. Gini

Source: VOLUME 63/06-B OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2896. 158 PAGES

Descriptors: COMPUTER SCIENCE; ECONOMICS, COMMERCE-BUSINESS

Descriptor Codes: 0984; 0505 ISBN: 0-493-71205-4

We consider the problem of self-interested economic agents who must negotiate with each other to carry out their plans. Customer agents express plans in the form of task networks, which they offer in a marketplace in a request for quotations (RFQ). The market runs a combinatorial reverse auction, in which supplier agents submit bids that specify prices for combinations of tasks, along with time windows and duration data that the customer uses to compose a work schedule. The presence of temporal and precedence constraints among the items at auction requires extensions to the standard winner-determination procedures for **combinatorial auctions**, and the use of the enhanced winner-determination procedure in real-time negotiation requires that we predict its runtime when planning the negotiation process.

We describe the high-level design of an agent that can act as a customer in this environment, and describe the decision behaviors such an agent must implement to maximize its utility. One of the primary decisions

such an agent must make is to determine the winners of its auctions. Methods for the determination of auction winners are explored in detail, and three different algorithms are presented. One is an **Integer Programming** (IP) model, the second is a queue-based Simulated Annealing (SA) design, and the third is an extension of the bidtree-based Iterative-Deepening A\* (IDA\*) formulation proposed by Sandholm.

The winner-determination algorithm must be run in the context of a real-time negotiation, and the time available to run it must be published to suppliers as part of a request for quotations. This is because suppliers need to know when they may expire their bids, and because shorter bid-expiration times are expected to lead to lower prices and higher willingness to bid on the part of suppliers. The result is that we must be able to predict the runtime of these <math> <f> <sc>NP</sc></f> </math>-complete algorithms based on information that can be measured or estimated before bids are available. We report on a series of experiments that provide us with runtime probability distributions across a range of problem size parameters.

#### 6/5/9 (Item 3 from file: 35)

DIALOG(R) File 35: Dissertation Abs Online (c) 2004 ProQuest Info&Learning. All rts. reserv.

01892842 ORDER NO: AADAA-I3054966

Approximate mechanisms and algorithms for combinatorial auctions

Author: Kwon, Roy Hyun

Degree: Ph.D. Year: 2002

Corporate Source/Institution: University of Pennsylvania (0175)

Supervisors: G. Anandalingam; Lyle H. Ungar

Source: VOLUME 63/05-B OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2562. 158 PAGES

Descriptors: OPERATIONS RESEARCH; ENGINEERING, INDUSTRIAL

Descriptor Codes: 0796; 0546 ISBN: 0-493-70334-9

Combinatorial auctions are auction formats that allow agents to submit single bids for a set of distinct items and as such economic efficiency may be enhanced. Mechanisms for combinatorial auctions may be the basis for distributed resource allocation of multiple items with many applications ranging from transportation procurement to telecommunications resource allocation.

However, with M distinct items there are 2<italic><super>M</super></italic><super> -1</super> - 1 possible bundles (set of items) and thus a bidder must face the task of possibly evaluating an exponential number of bundles. In addition, the auctioneer faces a non-trivial computational task in winner determination and must solve a NP-hard problem weighted set packing.

In the first part of the thesis I propose an extension of an economically efficient iterative mechanism for **combinatorial auctions** to enable endogenous bid determination. Endogenous bidding entails dynamically discovering new bundles through item prices so that explicit evaluation of bundles can be avoided. A pricing **linear program** is developed that is unique in satisfying a normative set of properties for item prices. The main theoretical result states that endogenous bidding is more efficient than the equivalent problem restricted to a set of a priori determined set of bundles under reasonable competitive environments.

In the second part of the thesis I propose an approximation algorithm for the winner determination problem. Real world instances of combinatorial auctions may involve thousands of items and thus an

inordinate number of possible bundles. Computing optimal winner determination solutions may require too much time. In real time settings e.g. the Internet time may be an important element. Thus, approximation strategies become important. I present a fast non-exact method that employs a primal-dual approximation (greedy method) for a first phase and then attempts to improve on the greedy solution by using dual information. Novel ex ante and ex post optimality bounds are derived that are non-trivial and that may offer better assessments of optimality compared to those from duality gaps or best known ex ante bounds. Empirical results indicate that the approximation strategy is effective in producing near optimal solutions in reasonable time.

6/5/10 (Item 4 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online (c) 2004 ProQuest Info&Learning. All rts. reserv.

01818902 ORDER NO: AADAA-I3003676

Iterative combinatorial auctions: Achieving economic and computational efficiency

Author: Parkes, David Christopher

Degree: Ph.D. Year: 2001

Corporate Source/Institution: University of Pennsylvania (0175)

Supervisor: Lyle H. Ungar

Source: VOLUME 62/02-B OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 937. 316 PAGES

Descriptors: COMPUTER SCIENCE; ECONOMICS, THEORY; OPERATIONS RESEARCH

Descriptor Codes: 0984; 0511; 0796

ISBN: 0-493-13121-3

A fundamental problem in building open distributed systems is to design mechanisms that compute optimal system-wide solutions despite the self-interest of individual users and computational agents. Classic game-theoretic solutions are often prohibitively expensive computationally. For example, the Generalized Vickrey Auction (GVA) is an efficient and strategy-proof solution to the combinatorial allocation problem (CAP), in which agents demand bundles of items, but every agent must reveal its value for all possible bundles and the auctioneer must solve a sequence of NP-hard optimization problems to compute the outcome.

I propose <italic>i</italic>Bundle, an <italic>iterative</italic> combinatorial auction in which agents can bid for combinations of items and adjust their bids in response to bids from other agents. <italic>i</italic>Bundle computes the efficient allocation in the CAP when agents follow myopic best-response bidding strategies, bidding for the bundle(s) that maximize their surplus taking the current prices as fixed. <italic>i</italic>Bundle solves problems without complete information revelation from agents and terminates in competitive equilibrium. Moreover, an agent can follow a myopic best-response strategy with approximate values on bundles, for example with lower- and upper-bounds.

My approach to iterative mechanism design decomposes the problem into two parts. First, I use **linear programming** theory to develop an efficient iterative auction under the assumption that agents will follow a myopic best-response bidding strategy. Second, I extend the approach to also compute Vickrey payments at the end of the auction. This makes myopic best-response a sequentially-rational strategy for agents in equilibrium, inheriting many of the useful game-theoretic properties of the GVA.

<italic>i</italic>Bundle implements a primal-dual algorithm, C<smcap>
OMB</smcap>A<smcap>UCTION</smcap>, for the CAP, computing a feasible primal
(the provisional allocation) and a feasible dual (the ask prices) that
satisfy complementary slackness conditions. An extended auction,

<italic>i</italic>Bundle Extend&Adjust, interprets a primal-dual
algorithm, V<smcap>ICK</smcap>A<smcap> UCTION</smcap>, as an iterative
auction. V<smcap>ICK</smcap>A<smcap>UCTION </smcap> computes the efficient
allocation and Vickrey payments with only best-response information from
agents. Experimental results demonstrate that <italic> i</italic>Bundle
Extend&Adjust, which keeps <italic>i</italic>Bundle open for a second
phase before adjusting prices towards Vickrey payments, computes Vickrey
payments across a suite of problems.

# 6/5/11 (Item 1 from file: 65) DIALOG(R)File 65:Inside Conferences

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03371561 INSIDE CONFERENCE ITEM ID: CN035610698

Integer Programming for Combinatorial Auction Winner Determination

Andersson, A.; Tenhunen, M.; Ygge, F.

CONFERENCE: Multiagent systems-International conference; 4th

ICMAS -PROCEEDINGS-, 2000; 4TH P: 39-46

IEEE Computer Society, 2000

ISBN: 0769506259; 0769506267; 0769506275

LANGUAGE: English DOCUMENT TYPE: Conference Selected papers

CONFERENCE EDITOR(S): Werner, B.

CONFERENCE SPONSOR: IEEE

CONFERENCE LOCATION: Boston, MA

CONFERENCE DATE: Jul 2000

BRITISH LIBRARY ITEM LOCATION: 4362.048561

NOTE:

Also known as ICMAS 2000

DESCRIPTORS: ICMAS; IEEE; multiagent systems

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Items
Set
                Description
S1
           54
                AU=(FORREST J? OR FORREST, J?)
           68
                COMBINATORIAL() AUCTION?
S2
         2024
                COLUMN (2N) (GENERATION OR TECHNIQUE? OR METHOD?)
S3
S4
        15472
                (LINEAR OR INTEGER?) (2N) PROGRAM? OR HIGH? () DIMENSION?
$5
            1
                S2 AND S3
            9
                S2 AND S4
56
            9
                S5 OR S6
S7
? show file;t 7/3,k/all
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File
         (c) 2004 The Gale Group
      15:ABI/Inform(R) 1971-2004/Jun 27
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File 813:PR Newswire 1987-1999/Apr 30
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7/3,K/1 (Item 1 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)

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02725463 405644411

Combinatorial auctions : A survey

de Vries, Sven; Vohra, Rakesh V

INFORMS Journal on Computing v15n3 PP: 284-309 Summer 2003

ISSN: 1091-9856 JRNL CODE: INJC

WORD COUNT: 7344

Combinatorial auctions : A survey

...ABSTRACT: combinations of different assets. This paper surveys the state of knowledge about the design of **combinatorial auctions** and presents some new insights. (PUBLICATION ABSTRACT)

...TEXT: combinations of different assets. This paper surveys the state of knowledge about the design of **combinatorial auctions** and presents some new insights. Periodic updates of portions of this survey will be posted...

... Auctions where bidders are allowed to submit bids on combinations of items are usually called **combinatorial auctions**. "Combinational auctions" is more accurate, but in this survey we will comply with convention.

Auctions...

... financial instruments; their mechanism treats financial securities as divisible. Increases in computing power have made **combinatorial auctions** more attractive to implement.

Perhaps the best known auction of heterogenous objects has been the...

...spectrum licences.

In contrast to the FCC, a number of large firms have actively embraced combinatorial auctions to procure logistics services. Ledyard et al. (2000) describe the design and use of a combinatorial auction that was employed by Sears in 1993 to select carriers. Here the objects bid upon...

...efficiently as possible. In fact, a number of logistics consulting firms tout software to implement **combinatorial auctions**. SAITECH-INC, for example, offers a software product called SBIDS that allows trucking companies to...

... Wal-Mart, and K-Mart. Two more companies have been formed to provide software for **combinatorial auctions**. They are CombineNet and Trade Extensions.

Since about 1995, London Transport has been auctioning off bus routes using a **combinatorial auction**. About once a month, existing contracts to service some routes expire and these are offered...

... use of an auction to allocate vacation time slots. Banks et al. (1989) propose a **combinatorial auction** for selecting projects on the space shuttle. It was tested experimentally but never implemented, for...

...for 100 units; \$3.95 a unit for 200 units, etc., are also examples of combinatorial auctions. Here each price-quantity pair corresponds to a bundle of homogenous goods and a bid...

- ... and quality guarantees, they become bundles of heterogenous objects. Davenport and Kalagnanam (2002) describe a **combinatorial auction** for such a context that is used by a large food manufacturer. Ausubel and Cramton...
- ...by the Osaka Securities exchange, but suspended in June of 2001.

The designer of a **combinatorial auction** faces a surfeit of choices, some of which we list below:

- 1. Should the collection...
- ... and by Sandholm (1999). To distinguish it from other possible formulations we call it the **combinatorial auction** problem (CAP). (We assume that the auctioneer is a seller and bidders are buyers.) CAP can be formulated as an **integer program**. We will survey what is known about the CAP. It assumes a knowledge of **linear programming** and familiarity with basic graph-theoretic terminology.

#### 3.5. Exact Methods

An exact method for...

- ... of the constraints are moved to the objective function with a penalty term), and the **linear programming** relaxation (where only the integrality constraints are relaxed-- the objective function remains the original function...
- ... huge number of columns (bids) the techniques described above need to be augmented with another **method** known as **column generation**. Introduced by Gilmore and Gomory (1961), it works by generating columns when needed rather than...
- ...studied have usually arisen from relaxations of SPAs. Given the above we believe that established **integer programming** methods will prove quite successful when applied to the solution of CAP.

Logistics.com's...

... on or the number of items allowed within a package. OptiBidTM is based on an **integer - program** solver with a series of proprietary formulations and starting heuristic algorithms.

SAITECH-INC's bidding software, SBID, is also based on **integer programming**. They report being able to handle problems of similar size as OptiBid.

Exact methods for...

- ... by substantially pruning the search tree and introducing additional bounding heuristics. Andersson et al. use integer programming. In the second, the method is tested on randomly generated instances, the largest of which...
- ... bids examined is far smaller than the number of subsets of objects. The last uses **integer** -- **programming** methods on the test problems generated by the second and third.

By comparison, a straightforward implementation on a commercially available code for solving linear integer programs (called CPLEX) only runs

into difficulties for instances of CAP involving more than 19 items...

...a suite of test problems.

#### 3.6. Approximate Methods

One way of dealing with hard integer programs is to give up on finding the optimal solution. Rather, one seeks a feasible solution...

... Before proceeding it is important to say that probably every heuristic approach for solving general integer - programming problems has been applied to the SPP. Unfortunately, there has not been a comparative testing ... setting auction interpretation. Dantzig (1963) specifically offers an auction interpretation for the decomposition algorithm for linear programming . A more recent example is Bertsekas (1991), who has proposed a collection of dual based...

 $\dots$  solves CAP1 (or CAP2). Such an understanding can be derived from the duality theory of <code>integer programs</code> .

#### 4.3. Column Generation

Column generation is a technique for solving linear programs with an exceedingly large number of variables. Each variable gives rise to a column in the constraint matrix, hence the name "column generation." A naive implementation of a simplex-type algorithm for linear programming would require recording and storing every column of the constraint matrix. However, only a small...

... of those columns would ever make it into an optimal basic feasible solution to the **linear program**. Further, of those columns not in the current basis, one only cares about the ones whose reduced cost will be of the appropriate sign. **Column generation** exploits this observation in the following way. First an optimal solution is found using a...

...find this non-basic column without listing all nonbasic columns. Here we propose that the **column - generation** idea can be implemented in an auction setting. In the first step the auctioneer chooses...

... the basis. In effect, each bidder is being used as a subroutine to execute the **column** - **generation** step. In the worst case, the bidder's pricing problem might be NP-complete, but...

... the columns from the initial basis only (and possibly previously generated nonbasic columns), solves a **linear program** to find a revenue-- maximizing (possibly fractional) allocation. The new extreme-point solution generated is...

... avoid cycling, the auctioneer can always implement one of the standard anti-cycling rules for **linear programming**.

This auction procedure eliminates the need to transmit and process long lists of subsets and...

... revenue to the seller. If the bids might lead to a nonintegral allocation, then this **column generation** has to be imbedded into a branch-and-cut/price scheme to produce an integer...

... has been to point out "classical" results that apply directly to the problem of designing **combinatorial** auctions. The third has been to emphasize the connections between the duality theory of optimization

problems...

#### ... References

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Accepted by Ramayya Krishnan...

7/3,K/2 (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2004 ProQuest Info&Learning. All rts. reserv.

02490634 235982571
Combinatorial auctions using rule-based bids
Jones, Joni L; Koehler, Gary J
Decision Support Systems v34n1 PP: 59-74 Dec 2002
ISSN: 0167-9236 JRNL CODE: DSS

Combinatorial auctions using rule-based bids

...ABSTRACT: of computing to create new auction forms that were previously impossible. A new type of **combinatorial auction** that accepts rule-based bids is described. Allowing bids in the form of high-level...

...DESCRIPTORS: Integer programming;

### 7/3,K/3 (Item 3 from file: 15)

DIALOG(R)File 15:ABI/Inform(R) (c) 2004 ProQuest Info&Learning. All rts. reserv.

02488861 232512691

A distributed scheduling methodology for a two-machine flowshop using cooperative interaction via multiple coupling agents

Jeong, In-Jae; Leon, V Jorge

Journal of Manufacturing Systems v21n2 PP: 126-139 2002

ISSN: 0278-6125 JRNL CODE: JMY

WORD COUNT: 1399

...TEXT: successfully applied to problems with different structures; namely, nonlinear continuous optimization (Jeong and Leon 2002a), linear programming (Jeong and Leon 2002b), and 0/1 integer programs (Jeong and Leon 2000). The latter application presents an implementation in the context of a...

...ed. New York: John Wiley & Sons.

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Luh...

(Item 4 from file: 15) 7/3,K/4

DIALOG(R)File 15:ABI/Inform(R)

(c) 2004 ProQuest Info&Learning. All rts. reserv.

02324371 110273660

Tales from a nonstandard career in operations research

Rothkopf, Michael H

INFOR v39n4 PP: 367-393 Nov 2001 ISSN: 0315-5986 JRNL CODE: IOR

WORD COUNT: 19308

...TEXT: that was new to me than with game theory itself. The other course linear programming course in the economics department of Claremont Graduate School. From it, I learned to think... into the characteristics of the solution in this case and formulated the problem as programming problem. This did not seem useful, since there was then no way to solve integer programming problems. Another problem involved scheduling jobs using parallel servers. I devised a computational method for...

... was chagrined to see the results independently derived in another paper (Lawler and Moore, 1968). Integer programming had progressed, and the results were of more interest than I had thought.

While I...

... little later I got a paper on job shop scheduling to referee. It proposed an integer programming formulation that took into account several realistic factors not included in previous work. I wrote...that do not carry that risk. The FCC has now designed and scheduled its first combinatorial auction . Related computational issues are arising in
designing auctions for electricity and e-commerce.

Bill Vickrey...

7/3,K/5 (Item 5 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)

(c) 2004 ProQuest Info&Learning. All rts. reserv.

01915156 05-66148

The efficiency of multi-unit electricity auctions

Elmaghraby, Wedad; Oren, Shmuel S

Energy Journal v20n4 PP: 89-116 1999

ISSN: 0195-6574 JRNL CODE: ENJ

WORD COUNT: 8467

...TEXT: each resource are calculated by a unit commitment algorithm which is a mixed nonlinear and integer optimization program run every period on a rolling horizon basis for the next 168 hours. The unit...auction, and examine their ability to yield efficient dispatches. These auctions can be viewed as combinatorial auctions where the combinations are restricted to be one of two forms, either vertical or horizontal...INFORMS meeting, Seattle, Washington.

Isaac, R., and D. James (1998). "Robustness of the Incentive Compatible Combinatorial Auction," Maryland Auction Conference, May 29-31.

Johnson, R., Oren, S. S., Alva J. Svoboda (1997...

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Rothkopf, M., A. Pekec, and R. Harstad (1998). "Computational ly Manageable Combinatorial Auctions," Management Science 44(8): 1131-1147.

Von der Fehr, N. and D. Harbord (1993). "Spot...

#### 7/3,K/6 (Item 1 from file: 148)

DIALOG(R) File 148: Gale Group Trade & Industry DB (c) 2004 The Gale Group. All rts. reserv.

16186612 SUPPLIER NUMBER: 107202915 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Market design and human trading behavior in electricity markets.

Olson, Mark; Rassenti, Stephen; Rigdon, Mary; Smith, Vernon IIE Transactions, 35, 9, 833(17)

Sept, 2003

ISSN: 0740-817X LANGUAGE: English RECORD TYPE: Fulltext; Abstract WORD COUNT: 9653 LINE COUNT: 00987

... flows in the stylized network. XA (copyright Sunset Software Technology), a callable library for solving linear and integer programs, provided the horsepower to solve for the optimal (surplus maximizing) power flows ...dynamic scheduling constraints. He is the co-author of several patents in the area of combinatorial auction design. He has conducted sponsored research for the FERC, the EIA, the FCC, and the...

#### 7/3,K/7 (Item 2 from file: 148)

DIALOG(R) File 148: Gale Group Trade & Industry DB (c) 2004 The Gale Group. All rts. reserv.

14843007 SUPPLIER NUMBER: 89668945 (USE FORMAT 7 OR 9 FOR FULL TEXT) Decision-making and cooperative interaction via coupling agents in organizationally distributed systems.

Jeong, In-Jae; Leon, V. Jorge IIE Transactions, 34, 9, 789(14)

Sept, 2002

ISSN: 0740-817X LANGUAGE: English RECORD TYPE: Fulltext; Abstract WORD COUNT: 9513 LINE COUNT: 00933

... have very different mathematical structure; i.e., these problems can be formulated as continuous non-linear programs, linear programs, and integer programs, respectively. The remaining of this section will briefly discuss these applications.

In a parameter design...as precedence relationships (Kutanoglu and Wu, 1999). Kutanoglu and Wu (1999) proposed a price-directed combinatorial auction mechanism. In their research, they showed that Lagrangian Relaxation with subgradient search can be viewed as a special version of combinatorial auction. Specifically, it is a price-directed, non-adaptive and regular tatonnement combinatorial auction. Ertogral and Wu (2000) developed an auction algorithm to solve a production-planning problem in...10(4), 359-387.

Danzig, G.B. and Wolfe, P. (1961) The decomposition algorithm for linear programs. Econometrica, 29, 767-778.

Davis, R. and Smith, R.G. (1983) Negotiation as a metaphor...chain. IIE Transactions, 32, 931-940.

Fisher, M. (1981) The Lagrangian relaxation method for solving integer programming problems. Management Science, 27, 1-18.

Fox, M.S. and Smith, S. ...Computers in Industry, 37, 213-231.

Jennergren, P. (1973) A price schedules decomposition algorithm for ar programming problem. Econometrica, 41(5), 965-980.

Jeong, I.J. and Leon V.J. (2001a) A...paper, Department of Industrial Engineering, Texas A&M University.

Kate, A.T. (1972) Decomposition of linear programs by direct distribution. Econometrica, 40(5) 883-898.

Kutanoglu, E. and Wu, S.D. (1999) On **combinatorial auction** and Lagrangian relaxation for distributed resource scheduling. IIE Transactions, 31(9), 813-826.

Lin, G...1996) Recovery of primal solutions when using subgradient optimization methods to solve Lagrangian duals of linear programs. Operations Research Letters, 19, 105-113.

Smith, S.F., Fox, M.S. and Ow, P...

#### 7/3,K/8 (Item 3 from file: 148)

DIALOG(R) File 148: Gale Group Trade & Industry DB (c) 2004 The Gale Group. All rts. reserv.

14843004 SUPPLIER NUMBER: 89668942 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Decentralizing semiconductor capacity planning via internal market

coordination. (Abstract)

Karabuk, Suleyman; Wu, S. David IIE Transactions, 34, 9, 743(17)

Sept, 2002

DOCUMENT TYPE: Abstract ISSN: 0740-817X LANGUAGE: English

RECORD TYPE: Fulltext; Abstract

WORD COUNT: 11864 LINE COUNT: 01024

Christensen, J. and Obel, B. (1978) Simulation of decentralized planning in two Danish organizations using linear pdecomposition. Management Science, 24(15), 1658-1667. programming

Cohen, G. (1978) Optimization by decomposition and coordination... 28(6), 1372-1403.

Dantzig, G.B. and Wolfe, P. (1961) The decomposition algorithm for programs . Econometrica, 29(4), 767-778.

Eppen, G.D., Martin, R.K. and Schrage, L. (1989...12. Department of Industrial and Manufacturing Systems Engineering, Lehigh University.

Kate, T. (1972) Decomposition of linear programs by direct distribution. Econometrica, 40(5), 883-898.

Kouvelis, P. and Lariviere, M.A. (2000...

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Kutanoglu, E. and Wu, S.D. (1999) On combinatorial auction and Lagrangian relaxation for distributed recource scheduling. IEEE Transactions, 31(9). 813-826.

Louveaux, F...Science, 37, 1166-1181. Ruszczynski, A. (1989) An augmented Lagrangian decomposition method for block diagonal linear programming problems, Operations Research Letters, 8, 287-294.

Ruszczynski, A. (...

#### (Item 4 from file: 148) 7/3.K/9

DIALOG(R) File 148: Gale Group Trade & Industry DB (c) 2004 The Gale Group. All rts. reserv.

(USE FORMAT 7 OR 9 FOR FULL TEXT) SUPPLIER NUMBER: 61207798 auction and Lagrangean relaxation for distributed 12139495 On combinatorial resource scheduling. (Statistical Data Included)

KUTANOGLU, ERHAN; WU, S. DAVID

IIE Transactions, 31, 9, 813

Sept, 1999 ISSN: 0740-817X DOCUMENT TYPE: Statistical Data Included

RECORD TYPE: Fulltext LANGUAGE: English LINE COUNT: 00883 10155 WORD COUNT:

## On combinatorial auction and Lagrangean relaxation for distributed resource scheduling. (Statistical Data Included)

new method based on a distributed and locally autonomous decision structure using the notion of combinatorial auction . In combinatorial auction the bidders demand a combination of dependent objects with a single bid. We show that...

...this auction mechanism to handle complex resource scheduling problems, but there exist strong links between combinatorial auction and Lagrangean-based decomposition. Exploring some of these properties, we characterize combinatorial auction using auction protocols and payment functions. This study is a first step toward developing a...agents bid for multiple items that have inter-dependent valuations. This motivates the auction in which the bidders demand a investigation of combinatorial set or a combination of indivisible objects with a...

...some portions of each resource with a single bid. Rothkopf et al. (35) investigate simultaneous combinatorial auctions in which bidders submit bids for a combination of indivisible objects. They show that finding...

... objects (39-43). These studies show that a price equilibrium may not exist in general combinatorial auctions where agents demand a bundle of interdependent items. Gul and Stacchetti (43) show that in...

- ...show that market clearing prices exist Wand only if the solution value of a centralized **integer program** coincide with that of its **linear programming** relaxation. This condition significantly lowers the expectation of reaching price equilibrium using any simple auction... problem. For a complete taxonomy of production scheduling problems, see Pinedo (44).
- 3.2. A combinatorial auction mechanism for scheduling
  We propose a general auction mechanism for the JSP using the notion
  of multi-item combinatorial auction. The proposed mechanism is
  progressive or dynamic (28) since it involves some number of iterations...
- ...different combination of objects presents different values for the jobs as is the case in **combinatorial auctions** (35,37). The precedence and non-preemption constraints restrict the combination of time slots that... optimal pricing" that supports optimal resource allocation. As demonstrated in the context of large-scale **linear programming** decomposition (45,46), linear subproblem objective leads to degeneracy. To relieve the problems of degeneracy...
- ...the iterations and the progress of the auction.
- With the above discussion, we characterize the **combinatorial** auction by the following elements:
  - (1) Auction protocols.
  - \* Standard Walrasian tatonnement (non-adaptive).
  - \* Adaptive tatonnement.
  - (2...for distributed scheduling.
  - 3.4. Lagrangean relaxation
- We will now turn our attention to an **Integer Programming** (IP) formulation of the job shop scheduling problem with weighted tardiness objective. Although there are...the procedure ends with an infeasible solution due to the last three criteria.
- 3.5. Combinatorial auction and Lagrangean relaxation
  In this section, we show that subgradient search in the context of
  Lagrangean relaxation can be viewed as a particular version of
  combinatorial auction introduced in Section 3.2. We focus our attention
  on the payment functions and the...
- ...We first establish a basic property connecting Lagrangean Relaxation with the regular tatonnement version of **combinatorial auction**. Using the well-known properties of Lagrangean duality we can then explore alternative payment functions...JSP. In other words, if linear resource pricing with no price discrimination is used in **combinatorial auction** (as is the case in regular tatonnement), the auction procedure does not lead to an...
- ...resource conflicts. As discussed in Section 2, even in the case of a large scale **linear program** (which does satisfy Strong Lagrangean Duality at optimality), there may not be resource prices supporting... r).sub.kt) where (S.sub.r) is the step size defined in (26).
- The combinatorial auction mechanism for scheduling can be summarized as:
- Step 1. Initialization: The auctioneer initializes the machine... ...two different payment functions and the two auction protocols leads to four different versions of **combinatorial auction**. In the following, we use small job shop examples to illustrate the implementation details of... 81-92
- (37.) Rassenti, S.J., Smith, V.L. and Bulfin, R.L. (1982) A combinatorial auction mechanism for airport time slot allocation. Bell

Journal of Economics, 13, 402-417.
(38.) Mas...

...Hall, Englewood Cliffs, N.J.
(45.) Jennergren, P. (1973) A price schedules decomposition algorithm for linear programming problems. Econometrica, 41(5), 965-979.
(46.) Jose, RA., Harker, P.T. and Ungar, L...

ł

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Items
                Description
Set
S1
           37
                AU=(FORREST J? OR FORREST, J?)
S2
           18
                COMBINATORIAL() AUCTION?
S3
         8629
                COLUMN(2N) (GENERATION OR TECHNIQUE? OR METHOD?)
S4
         5221
                (LINEAR OR INTEGER?) (2N) PROGRAM? OR HIGH? () DIMENSION?
S5
            0
                S2(S)S3
                S2(S)S4
S 6
            0
S7
           17
                S2 AND IC=G06F?
S8
                S1 AND S2
? show file
File 348:EUROPEAN PATENTS 1978-2004/Jun W03
         (c) 2004 European Patent Office
File 349:PCT FULLTEXT 1979-2002/UB=20040624,UT=20040617
         (c) 2004 WIPO/Univentio
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7/3,K/1 (Item 1 from file: 348)
DIALOG(R)File 348:EUROPEAN PATENTS
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01685670

Method and apparatus for solving concisely expressed combinatorial auction problems

Verfahren und Vorrichtung zur Losung von klar fortmulierten Problemen einer kombinatorischen Auktion

Procede et dispositif a resoudre des problems claires d'une vente aux encheres combinatoire

PATENT ASSIGNEE:

Combinenet, Inc., (4179721), Fifteen 27th Street, Pittsburgh, Pennsylvania 15222, (US), (Applicant designated States: all) INVENTOR:

Boutilier, Craig E., 23, Brownstone Lane, Toronto, Ontario M8X 2Z6, (CA) LEGAL REPRESENTATIVE:

Land, Addick Adrianus Gosling et al (59332), Arnold & Siedsma, Advocaten en Octrooigemachtigden, Sweelinckplein 1, 2517 GK Den Haag, (NL)

PATENT (CC, No, Kind, Date): EP 1383068 A2 040121 (Basic) EP 1383068 A3 040128

APPLICATION (CC, No, Date): EP 2003077189 030711;

PRIORITY (CC, No, Date): US 395157 P 020711; US 211771 020802; US 618238 030711

DESIGNATED STATES: AT; BE; BG; CH; CY; CZ; DE; DK; EE; ES; FI; FR; GB; GR; HU; IE; IT; LI; LU; MC; NL; PT; RO; SE; SI; SK; TR

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; SI

INTERNATIONAL PATENT CLASS: G06F-017/60

ABSTRACT WORD COUNT: 143

NOTE:

Figure number on first page: 2

LANGUAGE (Publication, Procedural, Application): English; English; English; FULLTEXT AVAILABILITY:

Available Text Language Update Word Count
CLAIMS A (English) 200404 3520
SPEC A (English) 200404 8906
Total word count - document A 12426
Total word count - document B 0
Total word count - documents A + B 12426

Method and apparatus for solving concisely expressed combinatorial auction problems

INTERNATIONAL PATENT CLASS: G06F-017/60

#### ...ABSTRACT A3

A method for enabling optimizing software to determine an optimal allocation in a **combinatorial auction** includes (a) receiving a plurality of bids each of which includes a plurality of sub...

...SPECIFICATION Field of the Invention

The present invention relates to a method of winner determination in combinatorial auctions .

2. Description of the Prior Art

Combinatorial auctions have emerged as a useful tool for determining resource allocations. Unfortunately, winner determination for combinatorial auctions is NP-hard and current methods have difficulty with combinatorial auctions involving goods and bids beyond the hundreds.

Combinatorial auctions are a form of auction in which a seller with multiple items for sale accepts...

- ...determining the winning bids/bundles, so as to maximize the seller's revenue. Applications of **combinatorial auctions** range from commodities trading, to resource allocation, to scheduling, to logistics planning, and the selling...
- ...that exhibit complementarities, e.g., broadcast spectrum rights, airport gate allocations, and the like.
  - A combinatorial auction process will now be generally described with reference to Fig. 1. Assume a seller or...
- ...of set G of the M goods and a corresponding monetary bid V. In a combinatorial auction, the seller can receive a collection of these bids from any number of potential buyers.

The problem of winner determination in a combinatorial auction is to find a subset of received bids where the sum of the monetary bid...

...is disjoint, and the sum of the monetary bids of the allocation is maximal.

Most **combin**atorial auctions have one or more bids expressed using a simple bundle of goods associated with the...

- ...provide a method and apparatus for finding a high quality, even optimal, allocation in a **combinatorial auction** where one or more bids of the auction are in a form that concisely express...
- ...invention is a method for enabling optimizing software to determine an optimal allocation in a **combinatorial auction**. The method includes (a) receiving a plurality of bids each of which includes a plurality... plurality of bids whereupon optimizing software can determine an optimal allocation of goods in a **combinatorial auction**, wherein each bid includes a plurality of sub bids and each sub bid is comprised...
- ...the objective.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a diagrammatic illustration of a **combinatorial auction** process;
- Fig. 2 is a schematic illustration of a computer system which implements computer software...
- ...flow diagram of a method of determining an approximately optimal allocation of goods in a **combinatorial auction**;
  - Fig. 4 is a plurality of exemplary bids in accordance with the present invention; Fig...

...in Fig. 11(a).

#### DETAILED DESCRIPTION OF THE INVENTION

The winner determination problem for a **combinatorial auction** is a difficult computational problem whose solution time grows exponentially with problem size. To solve...

...bids that utilize highly expressive logical operators to express the buyer's requirement in a **combinatorial auction** into variables and constraints that are suitable as input for conventional optimizing software. The present...

...constructed and their values determined to find a high quality, even optimal, allocation in a combinatorial auction where each bid of the auction utilizes highly expressive logical operators to express the buyer ...11(e).

If Bids 1, 3 and 5 represent the only bids received in a combinatorial auction for goods gl)) - g6)), the constraints shown in Figs. 11(a)-11(e) are the...

## ...CLAIMS A3

- 1. A method for enabling optimizing software to determine an optimal allocation in a combinatorial auction , the method comprising:
- (a) receiving a plurality of bids each of which includes a plurality...
- ...plurality of bids whereupon optimizing software can determine an optimal allocation of goods in a combinatorial auction , wherein each bid includes a plurality of sub bids and each sub bid is comprised...

#### (Item 2 from file: 348) 7/3, K/2

DIALOG(R) File 348: EUROPEAN PATENTS

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#### 01643501

Method and apparatus for forming expressive combinatorial auctions and exchanges

Methode und Vorrichtung zur Bildung von ausdrucksvollen kombinatorischen Auktionen und Borsen

Procede et dispositif pour la formation de ventes aux encheres et de bourses expressives et combinatoires

## PATENT ASSIGNEE:

Combinenet, Inc., (4179720), 311 South Craig Street, Suite 100, Pittsburgh, Pennsylvania 15213, (US), (Applicant designated States: all)

## INVENTOR:

Sanholm, Tuomas, 1 Trimont Lane, Nr. 520B, Pittsburgh, Pennsylvania 15211 , (US)

Suri, Subhash, 3755 Gerggory Way, Nr.5, Santa Barbara, California 93105, (US)

Levine, David L., 14260 Forest Crest Drive, Chesterfield, Missouri 63017, (US)

Gilpin, Andrew G., 300 N. Dithridge Street, Nr. 312A, Pittsburgh, Pennsylvania 15213, (US)

Heitman, John, 417 Semple Street, Pittsburgh, Pennsylvania 15213, (US)

Shields, Robert Lawrence Jr., 7 Crump Street, Huntington, West Virginia 25705, (US)

### LEGAL REPRESENTATIVE:

Land, Addick Adrianus Gosling et al (59334), Arnold & Siedsma Sweelinckplein 1, 2517 GK Den Haag, (NL)

PATENT (CC, No, Kind, Date): EP 1353285 A2 031015 (Basic) APPLICATION (CC, No, Date): EP 2003075095 030110;

PRIORITY (CC, No, Date): US 371451 P 020410; US 254241 020925

DESIGNATED STATES: AT; BE; BG; CH; CY; CZ; DE; DK; EE; ES; FI; FR; GB; GR; HU; IE; IT; LI; LU; MC; NL; PT; SE; SI; SK; TR

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO

INTERNATIONAL PATENT CLASS: G06F-017/60

ABSTRACT WORD COUNT: 90

NOTE:

Figure number on first page: 4

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LANGUAGE (Publication, Procedural, Application): English; English; English
FULLTEXT AVAILABILITY:
Available Text Language
                           Update
                                     Word Count
      CLAIMS A (English) 200342
                                      1971
                (English) 200342
                                     10250
      SPEC A
Total word count - document A
                                     12221
Total word count - document B
Total word count - documents A + B
                                     12221
Method and apparatus for forming expressive combinatorial auctions and
    exchanges
INTERNATIONAL PATENT CLASS: G06F-017/60
 7/3,K/3
             (Item 3 from file: 348)
DIALOG(R) File 348: EUROPEAN PATENTS
(c) 2004 European Patent Office. All rts. reserv.
01543225
Bidding language for combinatorial
                                      auctions and method of use thereof
Wettsprache zum
                    Plazieren
                                eines
                                        Gebots
                                                fur eine kombinatorische
    Versteigerung und Verfahren dafur
Language d'appel d'offres pour une vente aux encheres combinatoire et
    methode correspondante
PATENT ASSIGNEE:
  Combinenet, Inc., (4179720), 311 South Craig Street, Suite 100,
    Pittsburgh, Pennsylvania 15213, (US), (Applicant designated States:
    all)
INVENTOR:
  Boutilier, Craig E., 23, Brownstone Lane, Toronto, Ontario M8X 2Z6, (CA)
  Hoos, Holger H., 1201-1177 Pacific Boulevard, Vancouver, British Columbia
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PATENT (CC, No, Kind, Date): EP 1286274 A2 030226 (Basic)
                              EP 1286274 A3 030319
APPLICATION (CC, No, Date):
                              EP 2002078167 020802;
PRIORITY (CC, No, Date): US 310001 P 010803
DESIGNATED STATES: DE; FR; GB; SE
EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI
INTERNATIONAL PATENT CLASS: G06F-017/10
ABSTRACT WORD COUNT: 102
NOTE:
  Figure number on first page: 3
LANGUAGE (Publication, Procedural, Application): English; English; English
FULLTEXT AVAILABILITY:
Available Text Language
                           Update
                                     Word Count
                           200309
      CLAIMS A (English)
                                      1165
                           200309
      SPEC A
                (English)
                                      4357
Total word count - document A
                                      5522
Total word count - document B
                                         0
Total word count - documents A + B
                                      5522
Bidding language for combinatorial
                                      auctions and method of use thereof
INTERNATIONAL PATENT CLASS: G06F-017/10
```

...ABSTRACT A3

In a combinatorial auction, a plurality of bids is received each

having a plurality of sub bids and Boolean...

... SPECIFICATION Patent Application Serial Number 60/310,001, filed August 3, 2001, entitled "Bidding Languages for Combinatorial Auctions".

#### BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to a method of winner determination in combinatorial auctions.

2. Description of the Prior Art

Combinatorial auctions have emerged as a useful tool for determining resource allocations. Unfortunately, winner determination for combinatorial auctions is NP-hard and current methods have difficulty with combinatorial auctions involving goods and bids beyond the hundreds.

Combinatorial auctions are a form of auction in which a seller with multiple items for sale accepts...

- ...determining the winning bids/bundles, so as to maximize the seller's revenue. Applications of **combinatorial auctions** range from commodities trading, to resource allocation, to scheduling, to logistics planning, and the selling...
- ...that exhibit complementarities, e.g., broadcast spectrum rights, airport gate allocations, and the like.
  - A combinatorial auction process will now be generally described with reference to Fig. 1. Assume a seller or...
- ...of set G of the M goods and a corresponding monetary bid V. In a combinatorial auction, the seller can receive a collection of these bids from any number of potential buyers.

The problem of winner determination in a **combinatorial auction** is to find a subset of received bids where the sum of the monetary bid...

...is disjoint, and the sum of the monetary bids of the allocation is maximal.

Most **combinatorial auctions** have one or more bids expressed using a simple bundle of goods associated with the...

- ...invention to provide a method that finds a high quality, even optimal, allocation in a **combinatorial auction** where each bid of the auction utilizes logical connectives to express the buyer's requirement...
- ...for finding a high quality, perhaps optimal, allocation of one or more bids in a **combinatorial auction**. Generally, the method includes receiving at least one bid having a plurality of sub bids...satisfied bids.

## BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a diagrammatic illustration of a **combinatorial auction** process;
- Fig. 2 is a schematic illustration of a computer system which implements computer software...
- ...trees shown in Fig. 5.

## DETAILED DESCRIPTION OF THE INVENTION

The winner determination problem for **combinatorial auction** is a difficult computational problem whose solution time grows exponentially

with problem size. The present...constructed and their values determined to find a high quality, even optimal, allocation in a **combinatorial** auction where each bid of the auction utilizes logical connectives to express the buyer's requirement...

...CLAIMS A3

- 1. A computer-implemented combinatorial auction method comprising:
- (a) receiving at least one bid having a plurality of sub bids with...
- ...implemented method for finding a high quality allocation of one or more bids in a **combinatorial auction**, the method comprising:
  - (a) receiving two bids, with each bid including a plurality of sub...

## 7/3,K/4 (Item 4 from file: 348)

DIALOG(R) File 348: EUROPEAN PATENTS

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01164891

AUTOMATIC COMBINATORIAL AUCTION WINNER DETERMINATION
AUTOMATISCHES KOMBINATORISCHES VERSTEIGERUNGS-GEWINNERBESTIMMUNG
DETERMINATION AUTOMATIQUE DU GAGNANT D'UNE VENTE AUX ENCHERES COMBINATOIRE
PATENT ASSIGNEE:

Sandholm, Tuomas, (3014830), 1 Trimont Lane No. 520B, Pittsburgh, PA 15211, (US), (Applicant designated States: all)

INVENTOR:

Combine.Net, Inc., 311 South Craig Street, Suite 100, Pittsburgh, Pennsylvania 15213, (US)

LEGAL REPRESENTATIVE:

Land, Addick Adrianus Gosling (59332), Arnold & Siedsma, Advocaten en Octrooigemachtigden, Sweelinckplein 1, 2517 GK Den Haag, (NL)

PATENT (CC, No, Kind, Date): EP 1145138 A1 011017 (Basic) WO 200025231 000504

APPLICATION (CC, No, Date): EP 99971118 991025; WO 99US23978 991025

PRIORITY (CC, No, Date): US 179659 981027

DESIGNATED STATES: DE; FR; GB; SE

INTERNATIONAL PATENT CLASS: G06F-017/10

NOTE:

No A-document published by EPO

LANGUAGE (Publication, Procedural, Application): English; English

AUTOMATIC COMBINATORIAL AUCTION WINNER DETERMINATION INTERNATIONAL PATENT CLASS: G06F-017/10

## 7/3,K/5 (Item 1 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

(c) 2004 WIPO/Univentio. All rts. reserv.

01097745

SYSTEM AND METHOD FOR CONSULTING AN AUCTION-BASED RANKING OF SEARCH RESULTS ON A COMPUTER NETWORK

SYSTEME ET PROCEDE DE CLASSIFICATION PAR ADJUDICATION DE RESULTATS DE RECHERCHE SUR RESEAU INFORMATIQUE

Patent Applicant/Assignee:

OVERTURE SERVICES INC, 74 N. Pasadena Avenue, 3rd Floor, Pasadena, CA 91103, US, US (Residence), US (Nationality)

Inventor(s):

SOULANILLE Thomas A, 931 S. El Molino Ave., Pasadena, CA 91106-4413, US, Legal Representative:

```
GNOFFO Vincent J (agent), Brinks Hofer Gilson & Lione, P.O. Box 10087,
    Chicago, IL 60610, US,
Patent and Priority Information (Country, Number, Date):
                        WO 200419171 A2-A3 20040304 (WO 0419171)
  Patent:
                        WO 2003US26258 20030821 (PCT/WO US03026258)
  Application:
  Priority Application: US 2002226103 20020822
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU
  CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP
  KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL
  PT RO RU SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE
  SI SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 12435
Main International Patent Class: G06F-017/30
Fulltext Availability:
  Detailed Description
Detailed Description
  employed include sealed-bid first price auctions, open outcry auctions,
  and various forms of combinatorial
                                        auctions that allow bidders to
  assign bids to
  combinations of items, e.g. combinations of search...
             (Item 2 from file: 349)
 7/3,K/6
DIALOG(R) File 349: PCT FULLTEXT
(c) 2004 WIPO/Univentio. All rts. reserv.
            **Image available**
01047134
AN AUTOMATED SEMI-DETERMINISTIC TRADING SYSTEM
SYSTEME COMMERCIAL AUTOMATIQUE SEMI-DETERMINISTE
Patent Applicant/Assignee:
  OM TECHNOLOGY AB, Norrlandsgatan 31, S-105 78 Stockholm, SE, SE
    (Residence), SE (Nationality)
Inventor(s):
  LYBACK David, Erik Dahlbergsg. 47, S-115 57 Stockholm, SE,
Legal Representative:
  SANDSTROM Staffan (et al) (agent), OM Technology AB, Patent Function,
    S-105 78 Stockholm, SE,
Patent and Priority Information (Country, Number, Date):
                        WO 200377177 A2 20030918 (WO 0377177)
  Patent:
                        WO 2003SE287 20030221
                                              (PCT/WO SE0300287)
  Application:
  Priority Application: US 200295773 20020313
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU
  CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP
  KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO
  RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI
  SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
```

Fulltext Word Count: 3080 Main International Patent Class: G06F-017/60 Fulltext Availability: Detailed Description Detailed Description ... 21 1. A typical scenario is that the orderbook is in the mode of a auction procedure. Hence, a number of orders are combinatorial temporarily blocked under certain conditions. The orders are... 7/3,K/7 (Item 3 from file: 349) DIALOG(R) File 349: PCT FULLTEXT (c) 2004 WIPO/Univentio. All rts. reserv. 01037485 \*\*Image available\*\* DEMAND-INITIATED INTELLIGENT NEGOTIATION AGENTS IN A DISTRIBUTED SYSTEM AGENTS INTELLIGENTS DE NEGOTIATION OUVERTS A DES DEMANDES SITUES DANS UN SYSTEME REPARTI Patent Applicant/Inventor: SOLOMON Neal, P.O. Box 21297, Oakland, CA 94620, US, US (Residence), US (Nationality) Patent and Priority Information (Country, Number, Date): WO 200367494 A1 20030814 (WO 0367494) Patent: WO 2001US47023 20011203 (PCT/WO US0147023) Application: Priority Application: US 2000250819 20001201 Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW (EA) AM AZ BY KG KZ MD RU TJ TM Publication Language: English Filing Language: English Fulltext Word Count: 46167 Main International Patent Class: G06F-017/60 Fulltext Availability: Detailed Description Detailed Description ... and thus determining the appropriate multi-item bundle buyer winner(s). The present system applies combinatorial auction processes a unique commercial implementation of a multi-agent system. Dynamic INAs (d-INAs...electronic aggregation system is described in Halbert et al., U.S. patent # 6101484. A simple combinatorial auction method determining a winner among multiple buyers for multiple items from a single seller...as double agents for arbitrage applications.

Fig. 54 illustrates an intermediated method for performing a combinatorial auction (CA) between a single seller and multiple buyers.

Fig. 55 illustrates a method for performing...S-C-INA), lead B-C- INA and

neutral C-INA] involved with aggregation and combinatorial auctions .

The present invention uses INAs in a distinctive demand-initiated system in which a B...seller mode reveals a dis-aggregation function by selling to several buyers.

Disintermediated Multi-item Combinatorial Auctions Using TNAs

In reference to Fig. 54, a traditional, intermediated, combinatorial auction is illustrated with an application to a single seller providing items to multiple sellers. In...s) can

be more organized and efficient. Such factor filtering processes can be applied to **combinatorial auctions** employing INAs.

In reference to Fig. 57, factor filters operate as pruning techniques (5815) in...for these items.

Consequently, bids must be evaluated in multiple item packages for overlapping items. Combinatorial auctions can evaluate the competitive

bids, but information must be shared between multiple sellers in order...

## 7/3,K/8 (Item 4 from file: 349)

DIALOG(R) File 349: PCT FULLTEXT

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00969430 \*\*Image available\*\*

## ENHANCED AUCTION MECHANISM FOR ONLINE TRANSACTIONS MECANISME D'ENCHERES AMELIORE POUR TRANSACTIONS EN LIGNE

Patent Applicant/Assignee:

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Inventor(s):

LA MURA Pierfrancesco, 440 Monroe Drive, Palo Alto, CA 94306, US, TENNENHOLTZ Moshe, 641 East Meadow Drive, Palo Alto, CA 94306, US, SHOHAM Yoav, 4058 Orme Street, Palo Alto, CA 94306, US,

Legal Representative:

SIERRA PATENT GROUP LTD (agent), P.O. Box 6149, Stateline, NV 89449, US, Patent and Priority Information (Country, Number, Date):

Patent:

WO 2002103477 A2-A3 20021227 (WO 02103477)

Application: WO 2002US

WO 2002US18942 20020612 (PCT/WO US0218942)

Priority Application: US 2001885720 20010619

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM Publication Language: English

Filing Language: English

Fulltext Word Count: 9532

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

## Detailed Description

... again to FIG. 2, as well as FIG. 1, auction module 38 provides for aggregated combinatorial auctions. In general, the module 38 provides a process wherein different auctions, by different sellers, are aggregated in order to yield a unified combinatorial auction.

According to this module 38, sellers register their goods until a specified date (Date 1...of goods by a seller, where bids can refer to bundles of goods (as in **combinatorial auctions**). In this

## 7/3,K/9 (Item 5 from file: 349)

DIALOG(R) File 349: PCT FULLTEXT

(c) 2004 WIPO/Univentio. All rts. reserv.

00964488 \*\*Image available\*\*

# SYSTEM AND METHOD FOR AN AUCTION OF MULTIPLE TYPES OF ITEMS SYSTEME ET PROCEDE D'ENCHERES POUR DES ARTICLES DE TYPES MULTIPLES

Patent Applicant/Inventor:

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CRAMTON Peter C, 6418 Dahlonega Road, Bethesda, MD 20816, US, US (Residence), US (Nationality)

JONES Wynne P, 7 Chalfont Road, Oxford OX2 6TL, GB, GB (Residence), GB (Nationality)

Legal Representative:

STANLEY B Green (et al) (agent), Connolly Bove Lodge & Hutz LLP, 1990 M Street, NW, Suite 800, Washington, DC 20036, US,

Patent and Priority Information (Country, Number, Date):

Patent:

WO 200297582 A2-A3 20021205 (WO 0297582)

Application: WO 2002US16937 20020531 (PCT/WO US0216937)

Priority Application: US 2001294246 20010531; US 2001898483 20010705

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ

LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG

SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English Fulltext Word Count: 17534

Main International Patent Class: G06F-017/60

Fulltext Availability: Detailed Description

## Detailed Description

.. 267

Cybernomics (2000), "An Experimental Comparison of the Simultaneous Multiple Round Auction and the CRA Combinatorial Auction." Report to the Federal Communications Commission, downloadable at www-fcc-gov.

Dasgupta, Partha and Eric...

## 7/3,K/10 (Item 6 from file: 349)

DIALOG(R) File 349: PCT FULLTEXT

(c) 2004 WIPO/Univentio. All rts. reserv. \*\*Image available\*\* SYSTEM AND METHOD FOR A DYNAMIC AUCTION WITH PACKAGE BIDDING SYSTEME ET PROCEDE POUR VENTE AUX ENCHERES DYNAMIQUE AVEC SOUMISSION A FORFAIT Patent Applicant/Inventor: AUSUBEL Lawrence M, 2920 Garfield Terrace NW, Washington DC 20008, US, US (Residence), US (Nationality) MILGROM R Paul, 150 Lake View Avenue, Cambridge, MA 02138, US, US (Residence), US (Nationality) Legal Representative: GREEN Stanley B (et al) (agent), Connolly Bove Lodge & Hutz, LLP, Suite 800, 1990 M. Street, NW, Washington, DC 20036, US, Patent and Priority Information (Country, Number, Date): Patent: WO 200242981 A1 20020530 (WO 0242981) Application: WO 2001US43838 20011123 (PCT/WO US0143838) Priority Application: US 2000252718 20001122; US 2001322649 20010912; US 2001330672 20011026 Designated States: US (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR Publication Language: English Filing Language: English Fulltext Word Count: 37848 Main International Patent Class: G06F-017/60 Fulltext Availability: Detailed Description Detailed Description ... of Auctions and Competitive Bidding," Econometrica 50: 1089 Nisaii, Noam (1999), "Bidding and Allocation in Combinatorial ," working paper, http://www.es.huji.ac.il/-noam/i,iilcts itiiil. Palfrey, Thomas (I... ... University of Arizona. Rassenti, S.J., V.L. Smith, and R.L. Bulfin (1982), "A Combinatorial Auction Mechanism for Airport Time Slot Allocation, "Bell Journal of Economics X111: 402 Roth, Alvin E. and... ... Cambridge University Press. M. H. Rothkopf, A. Pekec and R. M. Harstad (1998), "Computationally Manageable Combinatorial Auctions ", Management Science, Vol. 44, No. 8, 1131 Rothkopf, Michael, Thomas Teisberg and Edward Kahn (I... 7/3,K/11 (Item 7 from file: 349) DIALOG(R) File 349: PCT FULLTEXT (c) 2004 WIPO/Univentio. All rts. reserv. 00889271 \*\*Image available\*\* METHOD AND SYSTEM FOR CONDUCTING AN ADVANCED AUCTION

Bode Akintola02-Jul-04

PROCEDE ET SYSTEME DESTINES A OPERER UNE VENTE AUX ENCHERES AMELIOREE

TRADE EXTENSIONS TRADEEXT AB, Kungsangsgatan 17-19, S-753 22 Uppsala, SE,

Patent Applicant/Assignee:

```
SE (Residence), SE (Nationality), (For all designated states except:
    US)
Patent Applicant/Inventor:
  ANDERSSON Arne, Rodhakevagen 3B, S-756 52 Uppsala, SE, SE (Residence), SE
    (Nationality), (Designated only for: US)
  TENHUNEN Mattias, Svartmansgatan 16, S-753 12 Uppsala, SE, SE (Residence)
    , SE (Nationality), (Designated only for: US)
  YGGE Fredrik, Linnegatan 58, S-413 08 Goteborg, SE, SE (Residence), SE
    (Nationality), (Designated only for: US)
  WURMAN Peter, 7620 Sutcliffe Drive, Raleigh, NC 27613, US, US (Residence)
    , US (Nationality), (Designated only for: US)
Legal Representative:
  AWAPATENT AB (agent), Box 11394, S-404 28 Goteborg, SE,
Patent and Priority Information (Country, Number, Date):
                        WO 200223424 A1 20020321 (WO 0223424)
  Patent:
                        WO 2001SE1016 20010510
  Application:
                                               (PCT/WO SE0101016)
  Priority Application: US 2000233413 20000918
Designated States: AE AG AL AM AT AT (utility model) AU AZ BA BB BG BR BY
  BZ CA CH CN CO CR CU CZ CZ (utility model) DE DE (utility model) DK DK
  (utility model) DM DZ EE EE (utility model) ES FI FI (utility model) GB
  GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
  MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SK (utility model)
  SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 6610
Main International Patent Class: G06F-017/60
Fulltext Availability:
  Detailed Description
Detailed Description
... AB, BC, CD>
 eliminates this problem. This variant of the conventional auction is
 called a combinatorial
                           auction .
 A further variant is a multi-commodity auction with explicit discounts
 and/or additional charges...
...during the bidding is effectively described by the running price of the
 painting. With a combinatorial auction , the state of the auction is
 hard to describe in a compact matter. For example...
...3 for the other item). This
 problem is discussed in "AkBA: A Progressive, Anonymous-Price
  Combinatorial Auction ", Peter R. Wunnan and Michael P. Wellman,
 Second
 ACM Conference on Electronic Commerce, October, 2000, Minneapolis, MN,
 pages 21 - 29, and "A combinatorial auction mechanism for airport
 time slot allocation", Rassenti, S. J., Smith, V. L., and Bulfin, R...
```

00883997

7/3,K/12

DIALOG(R) File 349: PCT FULLTEXT

(Item 8 from file: 349)

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## ENHANCED AUCTION MECHANISM FOR ONLINE TRANSACTIONS MECANISME AMELIORE DE VENTE AUX ENCHERES POUR TRANSACTIONS EN LIGNE

Patent Applicant/Assignee:

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Inventor(s):

LA MURA Pierfrancesco, 440 Monroe Drive, Palo Alto, CA 94306, US, TENNENHOLTZ Moshe, 641 East Meadow Drive, Palo Alto, CA 94306, US, SHOHAM Yoav, 4058 Orme Street, Palo Alto, CA 94306, US,

Legal Representative:

D'ALESSANDRO Kenneth (et al) (agent), Sierra Patent Group, Ltd., P.O. Box 6149, Stateline, NV 89449, US,

Patent and Priority Information (Country, Number, Date):

Patent:

WO 200217187 A2 20020228 (WO 0217187)

Application:

WO 2001US25794 20010816 (PCT/WO US0125794)

Priority Application: US 2000642078 20000818

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English Fulltext Word Count: 7886

Main International Patent Class: G06F-017/60 Fulltext Availability:

Detailed Description Claims

Detailed Description

... again to FIG. 2, as well as FIG. 1, auction module 38 provides for aggregated combinatorial auctions. In general, the module 38 provides a process wherein different auctions, by different sellers, are aggregated in order to yield a unified combinatorial auction. According to this module 38, sellers register their goods until a specified date (Date 1...

## Claim

- ... system of claim 1, wherein said mechanism module comprises rule defining programming associated with aggregated combinatorial auction transactions, said rule defining programming configured to receive at least one item for sale from...
- ...said rule defining programming configured to aggregate each said item for sale into a unified **combinatorial auction**, said rule defining programn-iing configured to offer for sale items in said unified cornbinatorial...
- ...said rule defining programming configured to receive a bid for an item in said unified combinatorial auction from a bidder,

said rule defining programming configured to receive in conjunction with said...

```
(Item 9 from file: 349)
 7/3.K/13
DIALOG(R) File 349: PCT FULLTEXT
(c) 2004 WIPO/Univentio. All rts. reserv.
00883992
IMPROVEMENTS RELATING TO INFORMATION EXCHANGE SYSTEMS
IMPROVEMENTS RELATING TO INFORMATION EXCHANGE SYSTEMS
Patent Applicant/Assignee:
  FLEXEX TECHNOLOGIES (INDIA) PRIVATE LIMITED, 4th Floor, Electric Mansion,
    Appasaheb Marathe Marg, Parbhadevi, Mumbai 400 025, IN, IN (Residence),
    IN (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
  DASH Sidhartha, A/56 Bandstand Building, Kane Road, Mount Mary, Mumbai,
    Maharastra, IN, IN (Residence), IN (Nationality), (Designated only for:
Patent and Priority Information (Country, Number, Date):
  Patent:
                        WO 200217163 A2 20020228 (WO 0217163)
  Application:
                        WO 2001IB1550 20010824 (PCT/WO IB0101550)
  Priority Application: GB 200020928 20000824; GB 200021516 20000901
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU
  CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP
  KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU
  SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 15691
Main International Patent Class: G06F-017/60
Fulltext Availability:
  Detailed Description
Detailed Description
... whether he is capable of taking the allocation, which may come out of
  the auction.
   Combinatorial
                   auctions can also be handled by that auction engine 36.
  Suppose a Postee has a set...
...the system. For example, he might declare B1 to B4 as his assets
  available for combinatorial
                                auction .
  The system then creates the different combinations of these blocks based
  on the rules defined...
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7/3,K/14 (Item 10 from file: 349)
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DIALOG(R) File 349:PCT FULLTEXT

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00857311 \*\*Image available\*\*

METHOD FOR CONDITIONAL AUCTIONS

METHODE RELATIVE A DES ENCHERES CONDITIONNELLES

Patent Applicant/Inventor:

WILLMAN Mattias, Gardesvagen 11, S-740 82 Orsundsbro, SE, SE (Residence),

SE (Nationality) YGGE Fredrik, Linnegatan 58, S-413 08 Goteborg, SE, SE (Residence), SE (Nationality) ANDERSSON Arne, Rodhakevagen 3B, S-756 52 Uppsala, SE, SE (Residence), SE (Nationality) Legal Representative: AWAPATENT AB (agent), Box 1394, S-404 28 Goteborg, SE, Patent and Priority Information (Country, Number, Date): WO 200190985 A1 20011129 (WO 0190985) Application: WO 2001SE1093 20010517 (PCT/WO SE0101093) Priority Application: US 2000205403 20000519; US 2000648326 20000825 Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW (EA) AM AZ BY KG KZ MD RU TJ TM Publication Language: English Filing Language: English Fulltext Word Count: 8195 Main International Patent Class: G06F-017/60 Fulltext Availability: Detailed Description Detailed Description ... some kind. In some cases, such conditions may be handled using state of auctions . A combinatorial auction is an the art combinatorial auction in which bidders can express valuations not only on single items, but... ...these services I will give a 10 % discountl, is quite straightforward to express with todayIs combinatorial auctions (as will be described below). However, example 2, a bid such as III am. willing... ...other bids having other conditions. This type of bid is impractical to express in present auctions , such as eAuctionHouse part of eMediator, combinatorial presently accessible at the Internet address http://ecommerce.cs... ...the type of multi-commodity auctions of the present invention. Common to the presently available combinatorial auctions for computer administration is that the bids, when associated with certain conditions, such as discount...order to exemplify the basic principles, as well as to make a comparison to basic combinatorial auctions . The objective of this example is to illustrate advantages with the invention. The example is... ... C sign up for contracts 2,

3, and 4.

Prior art method

With a.traditional combinatorial auction, all combinations that each bidder could possibly accept have to be explicitly enumerated.

As seen...

...giving the corresponding integer linear formulation, but a similar result is obtained-with any conventional **combinatorial auction** winner determination algorithm.

The objective function to maximize, according to this prior art example, for...

## 7/3,K/15 (Item 11 from file: 349)

DIALOG(R) File 349: PCT FULLTEXT

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00809396 \*\*Image available\*\*

AUTOMATED EXCHANGE FOR THE EFFICIENT ASSIGNMENT OF AUDIENCE ITEMS ECHANGE AUTOMATISE POUR L'ATTRIBUTION EFFICACE DES PRODUITS D'AUDIENCE Patent Applicant/Assignee:

SHOLTZ & ASSOCIATES L L C, 15 South Raymond Avenue, Suite 200, Pasedena, CA 91105, US, US (Residence), US (Nationality)

Inventor(s):

BYKOWSKY Mark M, 4513 Chase Avenue, Bethesda, MA 20814, US, OLSON Mark A, 1343 East Renfrew Place, Tucson, AZ 85719, US, RASSENTI Stephen, 2902 East Mabel Street, Tucson, AZ 85716, US, SHOLTZ Anne M, 515 Deodar Lane, Bradbury, CA 91010, US, Legal Representative:

BRACKETT Tim L (agent), Nixon Peabody, Suite 800, 8180 Greensboro Drive, McLean, VA 22102, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200143027 A1 20010614 (WO 0143027)

Application: WO 2000US33179 20001208 (PCT/WO US0033179)

Priority Application: US 99169973 19991210; US 2000197672 20000417; US 2000202813 20000508

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English Filing Language: English Fulltext Word Count: 23346

Main International Patent Class: G06F-017/60

Fulltext Availability: Detailed Description

## Detailed Description

... designed and experimentally tested to trade packaged combinations of airport takeoff and landing slots ("A Combinatorial Auction Mechanism for Airport Time Slot Allocation," Stephen J. Rassenti, Vernon L. Smith, and Robert L...

## 7/3,K/16 (Item 12 from file: 349)

DIALOG(R) File 349: PCT FULLTEXT

(c) 2004 WIPO/Univentio. All rts. reserv. \*\*Image available\*\* 00737987 GLOBALLY TIME-SYNCHRONIZED SYSTEMS, DEVICES AND METHODS SYSTEMES GLOBALEMENT SYNCHRONISES DANS LE TEMPS Patent Applicant/Assignee: REVEO INC, 85 Executive Boulevard, Elmsford, NY 10523, US, US (Residence) , US (Nationality), (For all designated states except: US) Patent Applicant/Inventor: FARIS Sadeg M, 24 Pocantico River Road, Pleasantville, NY 10570, US, US (Residence), US (Nationality), (Designated only for: US) HAMLIN Gregory J, 33 Church Street, Presque Isle, ME 04769, US, US (Residence), US (Nationality), (Designated only for: US) FLANNERY James P, 30 Williams Street, New City, NY 10965, US, US (Residence), US (Nationality), (Designated only for: US) Legal Representative: PERKOWSKI Thomas J (agent), Soundview Plaza, 1266 East Main Street, Stamford, CT 06902, US, Patent and Priority Information (Country, Number, Date): Patent: WO 200050974 A2-A3 20000831 (WO 0050974) Application: WO 2000US5093 20000228 (PCT/WO US0005093) Priority Application: US 99258573 19990226; US 2000513601 20000225 Parent Application/Grant: Related by Continuation to: US Not furnished (CIP) Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG (AP) GH GM KE LS MW SD SL SZ TZ UG ZW (EA) AM AZ BY KG KZ MD RU TJ TM Publication Language: English Filing Language: English Fulltext Word Count: 80968 Main International Patent Class: G06F-017/60 Fulltext Availability: Claims Claim ... is understood that such bidders could be bidding on multiple items in multi-item combinatorial auctions , as well as any variations thereof. In many applications, the bidders will be human beings...and subscriptions, and the like. Page 209 of 238 multiple items in a multi-item combinatorial auction . 244. The Internet-based method of claim 231, wherein one or more of said client...based system of claim 245, wherein said item comprises multiple items in a multi-item combinatorial auction . 259. The Internet-based system of claim 245, wherein one or more of said client... 7/3,K/17 (Item 13 from file: 349) DIALOG(R) File 349:PCT FULLTEXT (c) 2004 WIPO/Univentio. All rts. reserv. 00561858 \*\*Image available\*\*

AUTOMATIC COMBINATORIAL AUCTION WINNER DETERMINATION

## DETERMINATION AUTOMATIQUE DU GAGNANT D'UNE VENTE AUX ENCHERES COMBINATOIRE

Patent Applicant/Assignee:

SANDHOLM Tuomas,

Inventor(s):

SANDHOLM Tuomas,

Patent and Priority Information (Country, Number, Date):

Patent:

WO 200025231 A1 20000504 (WO 0025231)

Application:

WO 99US23978 19991025 (PCT/WO US9923, 978)

Priority Application: US 98179659 19981027

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KA LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI KK EL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 10487

## AUTOMATIC COMBINATORIAL AUCTION WINNER DETERMINATION

Main International Patent Class: G06F-017/10

Fulltext Availability:
Detailed Description

Claims

## English Abstract

...structures for solution of problems of the class equivalent to optimal allocation determination in a **combinatorial auction**. The method stores bids in a binary tree which is searched in conjunction with a...

## Detailed Description

Automatic combinatorial auction winner determination FIELD OF THE INVENTION

The invention relates to solution of problems of the class equivalent to optimal allocation determination in a **combinatorial auction** .

### BACKGROUND

In sequential auctions, the items are auctioned one at a time. If an agent...

...is an auction protocol known as a @ 4combinatorial auction". Determining the winning bids in a **combinatorial auction**, however, in a way that maximizes the auctioneer's revenue is intractable (NP-complete).

There...

- ...combinations of the items. A common situation in which this is found is in a **combinatorial auction**. In this situation an auctioneer holding properties wishes to maximize the value obtained through the...
- ...properties.

Conventionally, practical implementations of the class of situations involving superadditive preferences, for example a **combinatorial auction** . have proven difficult because of the complexity of considering numerous possible combinations of bids for...

...necessity to perform the task. Conventionally, computer-implemented methods of selecting winning bids in a **combinatorial auction** involve representing the items and bids in a computer or equivalent and performing particular operations...

...to determine winning bids. However, conventional methods are impractical for many applications.

Winner determination in **combinatorial auctions** means choosing which bids to accept so as to maximize the sum of the prices...

- ...time (in the number of bids placed) algorithm for finding a revenue maximizing allocation in **combinatorial auctions** unless the complexity class NP equals the complexity class P which is extremely unlikely. Generally...it would be desirable to have a method and apparatus for optimal winner determination in **combinatorial auction**—type problems that does not require exponential time or memory; can capitalize on the fact...
- ...with an illustrative embodiment;
  Fig. 2 depicts a method for optimal anytime winner determination in
  combinatorial

auction -type problems in accordance with an illustrative embodiment;
Fig. 3A depicts a Bidtree data structure...

- ...a class of computing problems cornputationally equivalent to the problem of optimal allocation determination in **combinatorial auctions**. For example, the present invention may be applied to the weighted set packing problem where...
- ...More particularly, the present invention provides a method and apparatus for determining the winners in **combinatorial auctions**. The present invention may be used to find the optimal solution to a **combinatorial auction**. If a method in accordance with the present invention is terminated before it has completed...to achieve a method, apparatus, and embodied data structures for optimal anytime winner determination in **combinatorial auction** -type problems. One skilled in the art will appreciate the distinction between the manipulation of...
- ...to maximize revenue from an auction. These bids would be the winning bids in a **combinatorial auction** in accordance with an illustrative embodiment. The 'main search' method 270 is described in greater...
- ...these terms as exemplars of an application of the aspects of the invention to a **combinatorial auction** in accordance with the illustrated embodiment. From the disclosure herein, one of skill will understand...
- ...Bidtree data structure may be applied in other contexts of the same class as the **combinatorial auction** problem.

Now, in further detail, the Bidtree data structure 300 is a data structure configured...be defined only by the claims and equivalents thereof.

Al
APPENDIX A
VVinner determination in
combinatorial auctions
A2
1. Introduction.
Outline
Auction protocol design
Review of other approaches to winner determination
- Dynamic...

...Backtracking via leveled commitment contracts
Breach before allocation
Breach after allocation
A4
Auction protocol design...

## Combinatorial auctions

- Agents bids joined with OR
- Truthful bidding can be made a dominant strategy via a...

## Claim

- ... implemented method according to claim 1 wherein the sets of items are bids for a **combinatorial auction** .
  - 3 The computer-implemented method according to claim I further comprising removing one or more...